

## Spherical Functions on a Real Semisimple Lie Group. A Method of Reduction to the Complex Case

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The spherical functions on a real semisimple Lie group (w.r.t. a maximal compact subgroup) are characterized as joint eigenfunctions of certain differential operators on the corresponding complex group. Using this, several results concerning the spherical Fourier transform on the real group are reduced to the corresponding results for the complex group.

When the group in question is a normal real form, this leads to new and simpler proofs of such results as the Plancherel formula, the Paley-Wiener theorem and the characterization of the image under the spherical Fourier transform of the  $L^1$ - and  $L^2$ -Schwartz spaces. In these proofs neither any knowledge of Harish-Chandras  $c$ -function nor the series expansion for the spherical function are used.

For the proof of the main result some analysis of independent interest on pseudo-Riemannian symmetric spaces is developed. Such as a generalized Cartan decomposition and a method of analytic continuation between two "dual" pseudo-Riemannian symmetric spaces.

### 1. INTRODUCTION

This paper is devoted to the proof and the exploration of Theorem 1.1, which by means of an analytic continuation characterizes the spherical functions on a real semisimple Lie group  $G_0$ , by some differential equations on the corresponding complex semisimple Lie group  $G$ .

Let  $g$  be a complex semisimple Lie algebra. Let  $g_0$  be a noncompact real form of  $g$ , with a Cartan decomposition  $g_0 = k_0 + p_0$  and Cartan involution  $\sigma$ . Then  $u = k_0 + ip_0$  is a compact real form of  $g$ , and  $k = k_0 + ik_0$  is a complex subalgebra of  $g$ .

Now consider  $g$  as a Lie algebra over the reals. Let  $G$  be a Lie group with Lie algebra  $g$ . Let  $G_0$ ,  $K$ ,  $K_0$  and  $U$  be the analytic subgroups of  $G$  corre-

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sponding to  $g_0$ ,  $k$ ,  $k_0$  and  $u$ , respectively. Let  $\mathbf{D}_R(K \backslash G)$  be the set of right invariant differential operators on  $K \backslash G$ . Let  $C^\infty(K \backslash G/U)$  denote the set of  $C^\infty$ -functions on  $G$ , which are left invariant under  $K$  and right invariant under  $U$ . Notice that  $K_0$  and  $U$  are maximal compact subgroups of  $G_0$  and  $G$ , respectively, and that  $K$  is noncompact.

**THEOREM 1.1.** *There is a one-to-one correspondence between the set of spherical functions  $\varphi$  on  $G_0$  w.r.t.  $K_0$ , and the set of functions  $\psi$  on  $G$ , which satisfy the following differential equations and invariance conditions:*

$$\begin{aligned} \psi &\in C^\infty(K \backslash G/U), & \psi(e) &= 1 \\ D\psi &= \lambda_D \psi & \text{for all } D \in \mathbf{D}_R(K \backslash G), & \text{where } \lambda_D \in \mathbb{C}, \end{aligned} \quad (1.1)$$

such that for all  $x \in G_0$

$$\varphi(x\sigma(x)^{-1}) = \psi(x).$$

This theorem enables us to “lift” many questions related to analysis w.r.t. the spherical functions on the real group  $G_0$ , to analogous questions concerning the spherical functions on the complex group  $G$  (w.r.t.  $U$ ). Since the spherical functions on the complex groups have several simple expressions in terms of elementary functions, many questions are much simpler to handle on these groups. Theorem 1.1 enables us to give new and simpler proofs of several theorems concerning the real groups  $G_0$ .

It is then not so much the results we prove for  $G_0$  that we find interesting, as it is the whole structure of the proofs, the new framework in which we can relate the spherical functions on the real groups with the spherical functions on the complex groups, and finally the theory we develop in order to obtain these results.

In the following we briefly outline the content of the paper. In Section 2 we have gathered some notation and a review of some known results concerning spherical functions. In Section 3 we treat in some detail the spherical Fourier transform on a complex semisimple Lie group. We prove the inversion formula, the Plancherel formula and the Paley–Wiener theorem. The main purpose of this section is to demonstrate that the complex groups are easy to work with, and that it therefore makes sense to reduce from the general case to that case.

In the course of the proof we run into a simple formula for the volume of a semisimple compact Lie group  $U$ , with maximal torus  $T$ :

$$\text{vol}(U) = (2\pi)^{(1/2)\dim U/T} \left( \prod_{\alpha \in \mathfrak{d}^+} \langle \alpha, \rho \rangle^{-1} \right) \cdot \text{vol}(T).$$

This formula has been found independently by Fegan and McDonald [3].

In Section 4 we develop some analysis concerning pseudo-Riemannian symmetric spaces  $G/H$ , where  $G$  is an arbitrary real semisimple Lie group and  $H$

is a noncompact fixpoint group of an involution. We establish a Cartan decomposition for  $G/H$  (Theorem 4.1), which was mentioned without proof in [4]. We use the Cartan decomposition to elaborate on a duality, introduced by Berger [1], between two such pseudo-Riemannian symmetric spaces  $G/H$  and  $G^0/K^0$ . The results obtained have independent interest with regard to pseudo-Riemannian symmetric spaces. We use them in the beginning of Section 5 to derive Theorem 1.1 above, which is just the special case, where  $K \backslash G$  and  $G_0 \times G_0/d(G_0)$  play the role of the two dual pseudo-Riemannian symmetric spaces, ( $d(G_0)$  is the diagonal subgroup).

If  $\psi$  satisfies (1.1), then

$$\Phi(x) = \int_U \psi(ux) dx \quad (1.2)$$

is a spherical function on  $G$  w.r.t.  $U$ . This together with Theorem 1.1 establishes a mapping from the set of spherical functions on  $G_0$  into the set of spherical functions on  $G$ . We identify this mapping in terms of Harish-Chandras parameters for the spherical functions (Theorem 5.5). Using this mapping we can find the spherical Fourier transform on  $G_0$ , as a "part" of the spherical Fourier transform on  $G$  (Theorem 6.1).

We then prove the Paley-Wiener theorem for  $G_0$ , provided that  $G_0$  satisfies a certain condition (6.8). This condition is obviously satisfied, when  $G_0$  is a normal form, and we prove it for  $G_0/K_0$  of rank one. This proof of the Paley-Wiener theorem does not use any knowledge of the series expansion of the spherical functions, nor any knowledge of the Harish-Chandra  $c$ -function. It is a simple reduction to the complex case.

Our approach works particularly well when  $G_0$  is a normal real form of  $G$ . In Sections 7 and 8 we restrict our attention to the normal forms. We prove the inversion formula and the Plancherel theorem (Theorem 7.3), still without using the series expansion of the spherical functions, nor any knowledge of the  $c$ -function. Our formula for the Plancherel measure for  $G_0/K_0$  takes the form:

$$d\mu(\lambda) = 2^{(1/2)\dim \mathfrak{a}} \pi_0(\rho_0)^{-2} \pi_0(\lambda)^2 \int_K \Phi_{2\lambda}(h) dh \cdot d\lambda, \quad \lambda \in \mathfrak{a}_0^*, \quad (1.3)$$

where  $\pi_0$  is the product of the positive roots and  $\Phi_{\lambda}$ ,  $\lambda \in \mathfrak{a}^*$ , are the spherical functions on  $G$ . Since Harish-Chandra has shown that  $d\mu(\lambda) = |c(\lambda)|^{-2} d\lambda$ , (1.3) is a new formula for the  $c$ -function. We obtain a formula dual to (1.2):

$$\varphi_{\lambda}(x\sigma(x)^{-1}) = 2^{(1/2)\dim \mathfrak{a}} \pi_0(\rho_0)^{-2} |c(\lambda)|^2 \pi_0(\lambda)^2 \int_K \Phi_{2\lambda}(hx) dh, \quad \lambda \in \mathfrak{a}_0^*, \quad (1.4)$$

for the spherical functions on  $G_0$ .

We also give simple proofs of the theorems, which characterize the spherical Fourier transform of the  $L^1$ - and  $L^2$ -Schwartz-spaces. The only known proofs of these theorems are fairly involved and difficult.

Section 8 is used to prove that the integration over  $K$  in (1.3) is allowed. For any specific group it is very easy to prove, by just looking at the root structure. To give a proof, which is independent of classification, is a little more technical and requires an inductive procedure, which essentially is due to Schmid [25] and developed further by Vogan [28]. The proof we give was kindly suggested to us by D. Vogan.

In Section 9 we make a few comments on the representation theoretic aspects of our method. In Section 10 we consider the special case of  $\mathbf{SL}(2, \mathbb{R})$ .

## 2. NOTATION AND A BRIEF REVIEW OF THE THEORY OF SPHERICAL FUNCTIONS

### *Notation*

Except for Section 4, where a separate notation is used, the notation will be as follows:

$g$  is a complex semisimple Lie algebra,  $g_0$  is a noncompact real form of  $g$ , with Cartan decomposition  $g_0 = k_0 + p_0$  and Cartan involution  $\sigma$ .  $u = k_0 + ip_0$  is a compact real form of  $g$ , and  $k = k_0 + ik_0$  is a complex subalgebra of  $g$ . Let  $g = u + a + n$  be an Iwasawa decomposition of  $g$ , then  $g_0 = k_0 + a_0 + n_0$ , where  $a_0 = a \cap g_0$  and  $n_0 = n \cap g_0$ , is an Iwasawa decomposition of  $g_0$ . Write the Cartan decomposition of  $g$ :  $g = u + p$ , where  $p = iu = p_0 + ik_0$ .

The real, resp. complex, dual of  $a$  is denoted by  $a^*$ , resp.  $a_{\mathbb{C}}^*$ , similarly for  $a_0$ . As for the Killing form one should be aware that the Killing form  $B_0$  on  $g_0$  is just the restriction of the complex Killing form  $B'$  of  $g$ , where as the Killing form  $B$  of  $g$ , as a real Lie algebra, is  $2B'$ . This means that the Euclidean structures on  $a_0$ , induced by  $B_0$  and  $B$ , are different. We shall write  $\langle \cdot, \cdot \rangle_0$  and  $\|\cdot\|_0$  for the scalar product and norm induced by  $B_0$  on  $a_0$ , and  $\langle \cdot, \cdot \rangle, \|\cdot\|$  for the scalar product and norm induced by  $B$  on  $p$ . So in particular

$$\|H\|_0^2 = \frac{1}{2} \|H\|^2 \quad \text{for all } H \in a_0. \quad (2.1)$$

Let  $a_k$  denote the orthogonal complement of  $a_0$  in  $a$ . Then we shall embed  $a_0^*$  in  $a^*$  by extending  $\lambda \in a_0^*$  to be zero on  $a_k$ . Similarly we also embed  $a^*$  in  $p^*$ , the dual of  $p$ . If  $\lambda \in p^*$  we denote by  $X_\lambda$  the element in  $p$ , determined by  $\langle \lambda, X \rangle = \langle X_\lambda, X \rangle$  for all  $X \in p$ . If  $\lambda \in a^*$  we often write  $H_\lambda$  instead of  $X_\lambda$ . Let  $H_\lambda^0$  be the corresponding notion for  $(a_0^*, \langle \cdot, \cdot \rangle_0)$ .  $a_0^*$  and  $p^*$  have Euclidean structures induced by these dualities. In particular

$$\frac{1}{2} H_\lambda^0 = H_\lambda \quad \text{and} \quad \|\lambda\|_0^2 = 2 \|\lambda\|^2 \quad \text{for all } \lambda \in a_0^*. \quad (2.2)$$

Extend  $\langle \cdot, \cdot \rangle$  and  $\langle \cdot, \cdot \rangle_0$  to complex bilinear mappings on  $p_{\mathbb{C}}^*$ , resp.  $(a_0)_{\mathbb{C}}^*$ .

Let  $\Delta$  be the root system of the pair  $(g, a)$ , i.e.,  $\Delta$  is the restricted roots for the real Lie algebra  $g$ , such that in particular each root space  $g^\alpha$ ,  $\alpha \in \Delta$ , has

dimension  $m_\alpha = 2$ . Let  $\Delta_0$  be the root system of the pair  $(g_0, a_0)$ . Then  $\Delta_0 = \{\alpha \mid_{a_0} \mid a \in \Delta, \alpha \mid_{a_0} \neq 0\}$ . Also  $\Delta_k = \{\alpha \mid_{a_k} \mid a \in \Delta, \alpha(a_0) = \{0\}\}$  is a root system on  $a_k$ . Corresponding to the Iwasawa decomposition of  $g$ , there are natural choices of positive Weyl chambers  $a^+$  and  $a_0^+$ , and positive root systems  $\Delta^+$ ,  $\Delta_0^+$  and  $\Delta_k^+$ . We define

$$\rho = \frac{1}{2} \sum_{\alpha \in \Delta^+} m_\alpha \alpha = \sum_{\alpha \in \Delta^+} \alpha, \quad \rho_0 = \frac{1}{2} \sum_{\alpha \in \Delta_0^+} m_\alpha \alpha \quad \text{and} \quad \rho_k = \frac{1}{2} \sum_{\alpha \in \Delta_k^+} \alpha.$$

Then

$$\rho = 2(\rho_0 + \rho_k).$$

Let  $W$ ,  $W_0$  and  $W_k$  be the Weyl groups of  $\Delta$ ,  $\Delta_0$  and  $\Delta_k$ . Let  $W' = \{s \in W \mid s(a_0) = a_0^+\}$ , then ([29, vol. I. Prop. 1.1.3.3]) we have

$$W_0 = W' / W_k. \quad (2.3)$$

Define  $a^{*+} = \{\lambda \in a^* \mid H_\lambda \in a^+\}$  and  $a_0^{*+} = \{\lambda \in a_0^* \mid H_\lambda^0 \in a_0^+\}$ .

Let  $G$  be a Lie group with Lie algebra  $g$ , and let  $K$ ,  $U$ ,  $A$ ,  $N$ ,  $G_0$ ,  $K_0$ ,  $A_0$ , and  $N_0$  be the analytic subgroups corresponding to  $k$ ,  $u$ ,  $a$ ,  $n$ ,  $g_0$ ,  $k_0$ ,  $a_0$ , and  $n_0$ . By the Iwasawa decomposition the mapping  $(k, a, n) \rightarrow kan$  is a diffeomorphism of  $U \times A \times N$  onto  $G$  and of  $K_0 \times A_0 \times N_0$  onto  $G_0$ . Let for  $x \in G$ ,  $H(x) \in a$  be determined by  $x \in U \exp(H(x)) N$ . (If  $x \in G_0$ , then  $H(x) \in a_0$ ). Let  $\overline{A_0^+} = \exp(\overline{a_0^+})$ . The Cartan decomposition

$$G_0 = K_0 \overline{A_0^+} K_0 \quad (2.4)$$

asserts that for each  $x \in G_0$ , there exists a unique  $a \in \overline{A_0^+}$  such that  $x \in K_0 a K_0$ . It can be shown (using [13, X, Theorem 6.10]) that the set  $C^\infty(K_0 \backslash G_0 / K_0)$  of  $K_0$ -biinvariant  $C^\infty$ -functions on  $G_0$ , via restriction to  $A_0$ , is in bijective correspondence with  $C_{W_0}^\infty(A_0)$ , the set of  $W_0$ -invariant  $C^\infty$ -functions on  $A_0$ . Similarly with  $G = U \overline{A^+} U$ .

$\mathbf{D}(G)$ ,  $\mathbf{D}(G_0)$ ,  $\mathbf{D}(G/U)$ ,  $\mathbf{D}(G_0/K_0)$  etc. shall denote the algebras of left invariant differential operators on the respective groups or homogeneous spaces.  $\mathbf{D}_R(G)$ ,  $\mathbf{D}_R(K \backslash G)$  etc. are the corresponding notions for right invariance.  $\mathbf{Z}(G) = \mathbf{D}(G) \cap \mathbf{D}_R(G)$  and  $\mathbf{Z}(G_0) = \mathbf{D}(G_0) \cap \mathbf{D}_R(G_0)$  are the centers of  $\mathbf{D}(G)$  and  $\mathbf{D}(G_0)$ , respectively.

As a general reference to the structure of semisimple Lie groups and Lie algebras, we refer to Helgason's book [13]. In particular we refer to Chapter X for the basic properties of spherical functions.

### *Normalization of measures*

It is important to keep straight the normalization of the measures. Here we give a complete list.

1. On compact groups the total mass is one:

$$\int_{K_0} dk = 1, \quad \int_U du = 1.$$

2. The measures on  $p_0$ ,  $p_0^*$ ,  $a_0$  and  $a_0^*$  are normalized such that the Euclidean Fourier transform is an isometry. The metric being induced by the Killing form. (I.e., the measure is given by the volume element times  $(2\pi)^{-(1/2)d}$  where  $d$  is the dimension of the space.)

3. Let  $\pi_0$ ,  $\delta_0$ , and  $J_0$  be the functions defined for  $H \in a_0$  by

$$\pi_0(H) = \prod_{\alpha \in \Delta_0^-} (\langle \alpha, H \rangle)^{m_\alpha}, \quad \delta_0(H) = 2^{l_0} \prod_{\alpha \in \Delta_0^+} (\sinh \langle \alpha, H \rangle)^{m_\alpha}, \quad (2.5)$$

$$J_0(H) = 2^{-l_0} \delta_0(H) \pi_0(H)^{-1},$$

where  $l_0 = \sum_{\alpha \in \Delta_0^+} m_\alpha = \dim n_0 = \dim K_0/M_0$ .  $J_0$  is the Jacobian of the exponential map  $\text{Exp}: p_0 \rightarrow G_0/K_0$ , and extends to an  $\text{Ad}(K_0)$  invariant strictly positive function on  $p_0$ .  $M_0$  is the centralizer of  $A_0$  in  $K_0$ . Let  $m_0$  be the Lie algebra of  $M_0$ . The metric on  $K_0/M_0$  is induced by  $-B_0$  on  $m_0^\perp$ . We shall need the following integral formulas for  $f \in C_c(p_0)$  and  $f \in C_c(p_0^*)$

$$\int_{p_0} f(X) dX = \gamma_0 \int_{a_0^+} \int_{K_0} f(\text{Ad}(k) H) \pi_0(H) dk dH \quad (2.6)$$

and

$$\int_{p_0^*} f(\lambda) d\lambda = \gamma_0 \int_{a_0^{*+}} \int_{K_0} f(\text{Ad}^*(k) \lambda) \pi_0(\lambda) dk d\lambda \quad (2.7)$$

where  $\pi_0(\lambda) = \pi_0(H_\lambda^0)$ , and  $\gamma_0 = (2\pi)^{-(1/2)l_0} \text{vol}(K_0/M_0)$ .

4. The Riemannian metric on  $G_0/K_0$  is induced by the Killing form on  $p_0$ . The measure is the volume element normalized by  $2^{l_0} \gamma_0^{-1}$ , such that

$$\begin{aligned} \int_{G_0/K_0} f(x) dx &= 2^{l_0} \gamma_0^{-1} \int_{p_0} f(\text{Exp } X) J_0(X) dX \\ &= \int_{a_0^+} \int_{K_0} f(k \text{Exp } H) \delta_0(H) dk dH, \end{aligned} \quad (2.8)$$

for  $f \in C_c(G_0/K_0)$ .

5. The measures on  $G_0$  and on  $G_0 \times G_0/d(G_0)$  are normalized such that

$$\int_{G_0} f(x) dx = \int_{G_0/K_0} \int_{K_0} f(xk) dk dx = \int_{G_0 \times G_0/d(G_0)} f(xy^{-1}) d(x, y) \quad (2.9)$$

for  $f \in C_c(G_0)$ .

6. Everything above applies, of course, to  $G$ ,  $U$ ,  $p$ ,  $a$ , etc. instead of  $G_0$ ,  $K_0$ ,  $p_0$ ,  $a_0$ , etc.  $\pi$ ,  $\delta$ ,  $J$ ,  $\gamma$ ,  $l$  are defined correspondingly.

By Theorem 4.2 and Lemma 5.1 the measure on  $K \backslash G$  can be normalized such that

$$\int_{G_0 \times G_0/d(G_0)} f(x) dx = \int_{K \backslash G} f^\eta(x) dx \quad (2.10)$$

for  $f \in C_c(K_0 \times K_0 \backslash G_0 \times G_0/d(G_0))$ .

Finally the measure on  $K$  is normalized such that for  $f \in C_c(G)$

$$\int_G f(x) dx = \int_{K \backslash G} \int_K f(hx) dh dx. \quad (2.11)$$

### Spherical Functions

Recall that a spherical function  $\varphi$  on  $G_0$  w.r.t.  $K_0$  is defined by:

$$\begin{aligned} \varphi &\in C^\infty(K_0 \backslash G_0 / K_0), & \varphi(e) &= 1 \\ D\varphi &= \lambda_D \varphi & \text{for all } D \in \mathbf{D}(G_0 / K_0), & \text{where } \lambda_D \in \mathbb{C}. \end{aligned} \quad (2.12)$$

Harish-Chandra has characterized the spherical functions by the following integral formula:

$$\varphi_\lambda(x) = \int_{K_0} e^{\langle i\lambda - \rho_0, H(xk) \rangle} dk, \quad x \in G_0. \quad (2.13)$$

Here  $\lambda \in (a_0)_\mathbb{C}^*$ , and  $\varphi_\lambda = \varphi_\mu$  if and only if  $\lambda$  and  $\mu$  are conjugate under  $W_0$ . It is known that  $\lambda \rightarrow \lambda_D$  (determined by (2.13) and (2.12)) for each  $D$  is a polynomial function on  $(a_0)_\mathbb{C}^*$ , and that

$$\lambda_\omega = -(\langle \lambda, \lambda \rangle_0 + \langle \rho_0, \rho_0 \rangle_0) \quad (2.14)$$

for the Cassimir operator  $\omega$ .

Let  $G_0^\wedge$  denote the set of equivalence classes of irreducible, unitary representations of  $G_0$ . Let  $G_0^\wedge(K_0)$  denote the subset of class-one representations w.r.t.  $K_0$ , i.e.,  $\pi \in G_0^\wedge(K_0)$  if and only if there exists a vector  $v_\pi \neq 0$  (unique up to a scalar), in the corresponding Hilbert space, such that  $\pi(K_0)$  leaves  $v_\pi$  fixed. There is a one-to-one correspondence  $\pi \leftrightarrow \varphi$ , between  $G_0^\wedge(K_0)$  and the set of positive definite spherical functions, such that  $\varphi(x) = \|v_\pi\|^{-2} \times (v_\pi, \pi(x) v_\pi)$  for all  $x \in G_0$ .

The regular representation of  $G_0$  on  $L^2(G_0/K_0)$  decomposes as a direct integral over  $G_0^\wedge(K_0)$ , with constant multiplicity one. The corresponding measure  $d\mu$  on  $G_0^\wedge(K_0)$  is called the Plancherel measure for  $G_0/K_0$ . In order to determine  $d\mu$ , it is enough to decompose  $L^2(K_0 \backslash G_0 / K_0)$  according to the positive definite spherical functions. This has been done by Harish-Chandra in [9–11]. We describe briefly his results:

In [9] he gave a series expansion of  $\varphi_\lambda$  with leading coefficients  $c(s\lambda)$ ,  $s \in W_0$ . The function  $c(\lambda)$  was explicitly determined by Gindikin and Karpelevič [8], as a meromorphic function on  $(a_0)_\mathbb{C}^*$ . Let now for  $x \in G_0$ ,  $|x|_0$  be defined as the  $K_0$ -biinvariant function, satisfying  $|\exp H|_0 = \|H\|_0$  for  $H \in a_0$ . Define the spherical  $L^2$ -Schwartz-space  $\mathcal{S}^2(G_0)$  by:

$$\begin{aligned} \mathcal{S}^2(G_0) = \{f \in C^\infty(K_0 \backslash G_0 / K_0) \mid \forall N \in \mathbb{N}, D \in \mathbf{D}(G_0): \\ \sup_{x \in G_0} (1 + |x|_0)^N |Df(x)| \varphi_0(x)^{-1} < +\infty\}. \end{aligned} \quad (2.15)$$

Let  $\mathcal{S}_{W_0}(a_0^*)$  be the subspace of the usual Schwartz-space on  $a_0^*$  of rapidly decreasing functions, consisting of  $W_0$ -invariant functions.

Define the spherical Fourier transform  $f \rightarrow f^\sim$  by:

$$f^\sim(\lambda) = \int_{G_0} f(x) \varphi_{-\lambda}(x) dx, \quad (2.16)$$

for  $\lambda \in a_0^*$  and  $f \in \mathcal{S}^2(G_0)$ , (or when ever well defined).

**THEOREM 2.1** (Harish-Chandra). (i) *The spherical Fourier transform is a bijection of  $\mathcal{S}^2(G_0)$  onto  $\mathcal{S}_{W_0}(a_0^*)$ . If  $\psi \in \mathcal{S}_{W_0}(a_0^*)$  then the inverse spherical Fourier transform  $f$  is given by*

$$f(x) = \int_{a_0^{*+}} \psi(\lambda) \varphi_\lambda(x) |c(\lambda)|^{-2} d\lambda, \quad \text{for } x \in G_0. \quad (2.17)$$

(ii) *The spherical Fourier transform extends by continuity to an isometry of  $L^2(K_0 \backslash G_0 / K_0)$  onto  $L^2(a_0^{*+}, |c(\lambda)|^{-2} d\lambda)$ .*

A shorter proof of part (ii) of this theorem has been given by Helgason–Gangolli–Rosenberg avoiding the most difficult part of Harish-Chandra's proof, i.e., the inductive procedure in [10]. Elaborating on the expansion for the spherical function, but still relying on [9] Helgason and Gangolli in [15], [6] (see also [16, pp. 37–39]) proved the following Paley–Wiener theorem:

**THEOREM 2.2.** (i) *The spherical Fourier transform is a bijection of  $C_c^\infty(K_0 \backslash G_0 / K_0)$  onto the space  $\mathcal{H}(a_0^*)$  of functions in  $\mathcal{S}_{W_0}(a_0^*)$ , which are the restrictions to  $a_0^*$  of entire functions of exponential type on  $(a_0)_\mathbb{C}^*$ .*

(ii) *Furthermore  $f \in C_c^\infty(K_0 \backslash G_0 / K_0)$  has support in a ball of radius  $R$  if and only if  $f^\sim$  extended to  $(a_0)_\mathbb{C}^*$  has exponential type  $R$ .*

Finally Rosenberg [23] noticed, that the proof of Helgason–Gangolli can be twisted so that it does not rely on Harish-Chandra [10]. Instead one proves (2.17) directly for functions in  $C_c^\infty(K_0 \backslash G_0 / K_0)$ .



It should be noted that part (i) of Theorem 2.1 does not follow in this way. This part of the theorem has been generalized by Helgason [16] and Trombi-Varadarajan [27] to the spherical  $L^p$ -Schwartz-spaces  $0 < p \leq 2$ :

$$\mathcal{S}^p(G_0) := \{f \in C^\infty(K_0 \backslash G_0 / K_0) \mid \forall N \in \mathbb{N}, D \in \mathbf{D}(G_0): \\ \sup_{x \in G_0} (1 + \|x\|_0)^N \|Df(x)\|^p \varphi_0(x)^{-2} < +\infty\}. \quad (2.18)$$

Here we just comment on the case  $p = 1$ . Let  $C_{\rho_0}$  be the convex hull of the set  $\{s\rho_0 \mid s \in W_0\}$  in  $a_0^*$ . Helgason-Johnson [18] has shown that

**THEOREM 2.3.**  $\varphi_\lambda$  is bounded if and only if  $\lambda \in a_0^* + iC_{\rho_0}$ .

From this follows easily (see [16, p. 28–31]) that if  $f \in \mathcal{S}^1(G_0)$ , then  $f^\wedge(\lambda)$  is well defined for  $\lambda \in a_0^* + iC_{\rho_0}$ , holomorphic in the interior and rapidly decreasing in the appropriate sense. These conditions are also sufficient:

**THEOREM 2.4.** The spherical Fourier transform is a bijection of  $\mathcal{S}^1(G_0)$  onto the space  $\mathcal{H}_{\rho_0}(a_0^*)$  of  $W_0$ -invariant, rapidly decreasing holomorphic functions in the tube  $a_0^* + iC_{\rho_0}$ .

For  $G_0$  of real rank one or for  $G_0$  complex this was proved by Helgason [16]. In general it was proved by Trombi-Varadarajan [27], by a very complicated inductive procedure, generalizing Harish-Chandra's method in [10].

Finally we should point out that everything said concerning spherical functions on  $G_0$  w.r.t.  $K_0$ , also covers the pair  $G, U$  (which is just the special case where  $g_0$  has a complex structure). In order to distinguish between the spherical Fourier transform on  $G$  and on  $G_0$ , we shall write  $\Phi_A, A \in a_{\mathbb{C}}^*$  for the spherical functions on  $G$ , and  $F \rightarrow F^\wedge$  for the spherical Fourier transform on  $G$ . Again we point out, that all the above mentioned theorems are much simpler to prove for  $G$  than for  $G_0$  in general.

### 3. SPHERICAL FUNCTIONS ON THE COMPLEX GROUP $G$

In this section we shall gather some features, which are specific for the complex case, and we shall prove the inversion formula, the Plancherel theorem, the Paley-Wiener theorem, and a slightly weaker form of the  $L^2$ -Schwartz-space theorem.

The spherical functions on  $G$  w.r.t.  $U$  has the following two formulas, besides (2.13), (see [17, pp. 31–32]):

$$\Phi_A(\exp H) = \frac{\pi'(\rho)}{\pi'(iA)} \delta'(H)^{-1} \sum_{s \in W} \det(s) e^{\langle isA, H \rangle} \quad (3.1)$$

for  $A \in a_{\mathbb{C}}^*$  and  $H \in a$ , (when there is a singularity the formula should be interpreted by continuity).

$$\pi'(A) = \prod_{\alpha \in \mathcal{A}^+} \langle \alpha, H_A \rangle \quad \text{and} \quad \delta'(H) = \prod_{\alpha \in \mathcal{A}^+} (2 \sinh \langle \alpha, H \rangle),$$

(i.e.,  $(\pi')^2 = \pi$  and  $(\delta')^2 = \delta$ , since  $m_{\alpha} = 2$  for all  $\alpha \in \mathcal{A}$ ).

Since  $\Phi_A(\exp H)$  is  $W$ -invariant in both  $A$  and  $H$ , we can extend it to a  $\text{Ad}^*(U) \times \text{Ad}(U)$  invariant function on  $p^* \times p$ , as such it is given by the following formula

$$\Phi_A(\exp X) = J(X)^{-1/2} \int_U e^{\langle iA, \text{Ad}(u)X \rangle} du. \quad (3.2)$$

**THEOREM 3.1.** (i) *Let  $F \in C_c^\infty(U \backslash G/U)$  and  $x \in G$ , then*

$$F(x) = \int_{a^{*+}} F^\wedge(A) \Phi_A(x) \pi(\rho)^{-1} \pi(A) dA \quad (3.3)$$

(ii) *The spherical Fourier transform is a bijection of  $C_c^\infty(U \backslash G/U)$  onto  $\mathcal{H}(a^*)$ .*

(iii) *It extends to an isometry of  $L^2(U \backslash G/U)$  onto  $L^2(a^{*+}, \pi(\rho)^{-1} \pi(A) dA)$ .*

*Proof.* Let  $\mathcal{F}_1$  and  $\mathcal{F}_2$  denote the Euclidean Fourier transform on  $p$  and  $a$ , respectively. From (2.8) and (3.2) it follows easily that

$$F^\wedge(A) = 2^l \gamma^{-1} \mathcal{F}_1(F \cdot J^{1/2})(A), \quad A \in p_{\mathbb{C}}^*. \quad (3.4)$$

Now the Euclidean inversion formula, combined with (2.7) and (3.2), gives for  $X \in p$ :

$$F(\exp X) = 2^{-l} \gamma^2 \int_{a^{*+}} F^\wedge(A) \Phi_A(\exp X) \pi(A) dA. \quad (3.5)$$

From (2.8) and (3.1) it follows, using  $\delta'(sH) = \det(s) \delta'(H)$  and  $\pi'(sA) = \det(s) \pi'(A)$ , that

$$F^\wedge(A) = \frac{\pi'(\rho)}{\pi'(-iA)} \mathcal{F}_2(F \cdot \delta')(A), \quad A \in a_{\mathbb{C}}^*, \quad (3.6)$$

and by the Euclidean inversion formula combined with (3.1), that for  $H \in a$ :

$$F(\exp H) = \int_{a^{*+}} F^\wedge(A) \Phi_A(\exp H) \frac{\pi'(iA) \pi'(-iA)}{\pi'(\rho)^2} dA. \quad (3.7)$$

This proves (i).

Comparing (3.5) and (3.7) we find  $\gamma = 2^{(1/2)l} (\pi'(\rho))^{-1}$ .

Now let  $\mathcal{H}(p^*)$  be the space of  $\text{Ad}^*(U)$  invariant, entire, rapidly decreasing functions of exponential type on  $p_{\mathbb{C}}^*$ . Then the Euclidean Paley-Wiener theorem

and (3.4) shows that  $F \rightarrow F^\wedge$  is a bijection of  $C_c^\infty(U \backslash G/U)$  onto  $\mathcal{H}(p^*)$ . Since the restriction of a function in  $\mathcal{H}(p^*)$  to  $a_\#^{\mathbb{C}}$  belongs to  $\mathcal{H}(a^*)$ , we have proved that  $F^\wedge \in \mathcal{H}(a^*)$ , if  $F \in C_c^\infty(U \backslash G/U)$ . Now assume  $\psi \in \mathcal{H}(a^*)$ . Define  $F$  by (3.3), then by (3.6) and the Paley-Wiener theorem for  $\mathcal{F}_2$  it follows that

$$H \rightarrow F(\exp H) \delta'(H) \text{ is in } C_c^\infty(a). \quad (3.8)$$

It follows from [13, X, Theorem 6.10] that  $\psi$  extends to a unique  $\text{Ad}^*(U)$ -invariant Schwartz-function on  $p^*$ . Then (3.4) tells us that  $F \cdot j^{1/2}$  is a Schwartz-function on  $p$ . In particular is  $X \rightarrow F(\exp X)$  in  $C^\infty(p)$ . Combining this with (3.8) we find that the restriction of  $F$  to  $\mathcal{A}$  is in  $C_c^\infty$ , since  $\{H \in a \mid \delta'(H) \neq 0\}$  is open and dense. This implies that  $F \in C_c^\infty(U \backslash G/U)$ , which finishes (ii).

The  $L^2$ -isomorphism now follows from (i) and (ii) in the usual way, or by the  $L^2$ -theory for  $\mathcal{F}_1$  and (3.4). Q.E.D.

*Remark.* In the course of the proof we have seen that  $\gamma = 2^{(1/2)l} \pi'(\rho)^{-1}$ . Recall that  $\gamma = (2\pi)^{-(1/2)l} \text{vol}_B(U/T)$ . Where  $T$  is the centralizer of  $a$  in  $U$ , thus  $T$  is a maximal torus. The volume is taken with respect to the Killing form  $B$  on  $\mathfrak{g} = u + iu$ , considered as a real Lie algebra. Thus the Killing form on  $u$  is  $\frac{1}{2}B$ . If we use the volume induced by that, we find since  $l = \dim U/T$ ,

$$\text{vol}(U) = (2\pi)^{(1/2)\dim U/T} \prod_{\alpha \in \mathcal{A}^+} \langle \alpha, \rho \rangle^{-1} \text{vol}(T). \quad (3.9)$$

(Here  $\langle \alpha, \rho \rangle$  has the same value, whether we take  $\rho = \frac{1}{2} \sum_{\alpha \in \mathcal{A}^+} 2\alpha$  and  $\langle, \rangle$  w.r.t.  $B$ , or we take  $\rho = \frac{1}{2} \sum_{\alpha \in \mathcal{A}^+} \alpha$  and  $\langle, \rangle$  w.r.t.  $\frac{1}{2}B$ , i.e., whether we consider  $\mathfrak{g}$  as a real or a complex Lie algebra.) See Freudentahl and Vries [5, p. 202] and Warner [30] for similar formulas.

A couple of remarks should be made here. The  $c$ -function for  $G$  is given by  $c(\lambda) = \pi'(\rho)/\pi'(i\lambda)$ , such that (3.3) is a special case of (2.17).

Another way to prove (ii) of Theorem 3.1, would be to prove directly that any  $\psi \in \mathcal{H}(a^*)$  of exponential type  $R$  has a unique extension to a function in  $\mathcal{H}(p^*)$ , also of exponential type  $R$ . This can be done along the following lines:

Let  $P_1, \dots, P_n$  be a set of algebraically independent homogeneous generators of  $\mathbf{I}_U(p^*)$ , the  $U$ -invariant polynomials on  $p^*$ . The restrictions to  $a^*$ ,  $\bar{P}_1, \dots, \bar{P}_n$  generate  $\mathbf{I}_U(a^*)$  (see [13, Chap. X]). Write  $\psi(\lambda) = \sum_\nu a_\nu \bar{P}_1^{\nu_1}(\lambda) \cdots \bar{P}_n^{\nu_n}(\lambda)$ ,  $\lambda \in a_\mathbb{C}^*$ . Define  $\psi$  on  $p_\mathbb{C}^*$  by

$$\psi(\lambda) = \sum_\nu a_\nu P_1^{\nu_1}(\lambda) \cdots P_n^{\nu_n}(\lambda), \quad \lambda \in p_\mathbb{C}^*.$$

Since  $P_i$  on  $p^*$  is  $\text{Ad}^*(U)$  invariant, it follows that  $P_i$  on  $p_\mathbb{C}^*$  is  $\text{Ad}^*(U)_\mathbb{C}$  invariant. But the action of  $\text{Ad}^*(U)_\mathbb{C}$  on  $p_\mathbb{C}^*$ , is the same as the action of  $G$  on  $\mathfrak{g}$ . Now the result follows from Lemma 3.2, which we state without proof.

LEMMA 3.2. *Let for  $X \in \mathfrak{g}$ ,  $X = X_1 + iX_2$ , where  $X_1, X_2 \in \mathfrak{u}$ . For any  $X \in \mathfrak{g}$ , there exists an  $H = H_1 + iH_2 \in \mathfrak{a} + i\mathfrak{a}$  in the closure of the  $G$  orbit of  $X$ , such that  $\|H_1\| = \inf\{\|(\text{Ad}(x)X)_1\| \mid x \in G\}$ .*

We have not proved Theorem 2.1(i) for the complex group  $G$ . It seems to be necessary with Harish-Chandra's inductive procedure for that. This is however easier for the complex groups, where (3.1) is essentially the series expansion of  $\Phi_A$ . The following slightly weaker theorem is an immediate consequence of the fact that the Schwartz-space is preserved by the Euclidean Fourier transform  $\mathcal{F}_1$ , formula (3.4) and the fact ([17, p. 32]) that for  $F \in C_c^\infty(U \backslash G/U)$

$$(\omega F)(\exp X) = J(X)^{-1/2} L(F \cdot J^{1/2})(X), \quad X \in \mathfrak{p},$$

where  $L$  is the Laplacian on  $\mathfrak{p}$ . (Recall that  $\Phi_0 = J^{-1/2}$ .)

THEOREM 3.3. *The spherical Fourier transform on  $G$  is a bijection of*

$$\begin{aligned} \mathcal{J}_0^2(G) = \{F \in C^\infty(U \backslash G/U) \mid \forall N, M \in \mathbb{N} \cup \{0\} \\ \times \sup_{x \in G} (1 + |x|)^N |\omega^M F(x)| \Phi_0^{-1}(x) < +\infty\} \end{aligned} \quad (3.10)$$

onto  $\mathcal{S}_W(\mathfrak{a}^*)$ .

But we do not know any a priori proof that  $\mathcal{J}_0^2(G) = \mathcal{J}^2(G)$ . Finally we remark that Helgason's proof of the corresponding result for  $\mathcal{J}^1(G)$  (Theorem 2.4) is a simple reduction to the  $\mathcal{J}^2(G)$  result.

#### 4. ANALYSIS ON PSEUDO-RIEMANNIAN SYMMETRIC SPACES

Let, in this section only,  $\mathfrak{g}$  denote an arbitrary semisimple Lie algebra over  $\mathbb{R}$  of noncompact type. Let  $\tau$  be any involutive automorphism of  $\mathfrak{g}$ . Then [1] there exists a Cartan involution  $\sigma$  of  $\mathfrak{g}$ , such that  $\tau\sigma = \sigma\tau$ . Let  $\mathfrak{g} = \mathfrak{h} + \mathfrak{q}$ , resp.  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$ , be the decomposition of  $\mathfrak{g}$  into  $+1$  and  $-1$  eigenspaces for  $\tau$ , resp.  $\sigma$ . Since  $\tau$  and  $\sigma$  commute,  $\mathfrak{g}$  decomposes into the direct sum of vector-spaces:

$$\mathfrak{g} = \mathfrak{h} \cap \mathfrak{k} + \mathfrak{q} \cap \mathfrak{k} + \mathfrak{h} \cap \mathfrak{p} + \mathfrak{q} \cap \mathfrak{p}. \quad (4.1)$$

Let  $\mathfrak{g}_0$  be the subalgebra fixed under the involution  $\sigma\tau$ , i.e.,  $\mathfrak{g}_0 = \mathfrak{h} \cap \mathfrak{k} + \mathfrak{q} \cap \mathfrak{p}$ . Clearly  $\mathfrak{g}_0$  and  $\mathfrak{h}$  are reductive Lie algebras, with Cartan involution given by the restriction of  $\sigma$ .

Let  $G$  be a connected Lie group with Lie algebra  $\mathfrak{g}$ , and finite center. Suppose that  $\tau$  extends to an involution of  $G$ , ( $\sigma$  always extends). Let  $G_0$ ,  $H$  and  $K$  be the analytic subgroups of  $G$  corresponding to  $\mathfrak{g}_0$ ,  $\mathfrak{h}$  and  $\mathfrak{k}$ . Notice that  $K$  is a maximal

compact subgroup of  $G$ . If  $g_0$  is not semisimple, then let  $g'_0 = [g_0, g_0]$  denote the semisimple part and  $c_0$  the center. Let  $a_0$  be maximal Abelian in  $g'_0 \cap p$ , then  $a_0 = a'_0 + c_0 \cap p$  is maximal Abelian in  $g_0 \cap p = q \cap p$ . Now choose a positive Weyl chamber  $a_0^{'+}$  in  $a'_0$ , and define  $a_0^{+} = a_0^{'+} + c_0 \cap p$ . Let  $W_0$  be the Weyl group of  $(G_0, a_0)$ , define  $A_0 = \exp(a_0)$  and  $A_0^{+} = \exp(a_0^{+})$ . Notice that by (4.3) below, it is easily seen that  $K \cap H$  is connected. We can now extend the Cartan decomposition (2.4) to the reductive group  $G_0$  to give

$$G_0 = (K \cap H) \overline{A_0^{+}} (K \cap H). \quad (4.2)$$

As with (2.4)  $C^{\infty}(K \cap H \backslash G_0 / K \cap H)$  can be identified with  $C_{W_0}^{\infty}(A_0)$ .

The homogeneous space  $G/H$  becomes a pseudo-Riemannian symmetric space, with the metric induced by the Killing form on  $q$ . We shall need the following generalized Cartan decomposition.

**THEOREM 4.1.** (i)  $G = K \overline{A_0^{+}} H$ , or more precisely: For every  $x \in G$  there exists a unique  $a \in \overline{A_0^{+}}$  such that  $x \in KaH$ .

(ii) The set  $C^{\infty}(K \backslash G/H)$  is in bijective correspondence, via restriction to  $A_0$ , with the set  $C_{W_0}^{\infty}(A_0)$ , of  $W_0$  invariant  $C^{\infty}$ -functions on  $A_0$ .

*Proof.*  $h \cap p$  is a Lie triple system contained in  $p$ , i.e.,  $[X, [Y, Z]] \in h \cap p$  for all  $X, Y, Z \in h \cap p$ . By a theorem of Mostow [22], (see also [13, VI, Theorem 1.4] or [21, p. 161]), the mapping

$$(k, X, Y) \rightarrow k \exp X \exp Y \quad (4.3)$$

is a homeomorphism of  $K \times q \cap p \times h \cap p$  onto  $G$ . A simple argument (see [21, pp. 160–161]) shows that this is actually a diffeomorphism. So in particular for each  $x \in G$  there is a unique  $X \in q \cap p$ , such that

$$x \in K \exp X \exp(h \cap p). \quad (4.4)$$

Using (4.2) there is a unique  $a \in \overline{A_0^{+}}$ , such that

$$\exp(X) \in (K \cap H) a (K \cap H). \quad (4.5)$$

It follows that  $x \in KaH$ . Now let  $a_1 \in \overline{A_0^{+}}$  be arbitrary such that  $x \in Ka_1H$ . Let  $k \in K$  and  $h \in H$  be such that  $x = ka_1h$ . By (4.3) we can write  $h = h_1 \exp Y$ , where  $h_1 \in K \cap H$  and  $Y \in h \cap p$ . Thus,  $x = kh_1(h_1^{-1}a_1h_1) \exp Y$ , and by the uniqueness in (4.3) we have that  $h_1^{-1}a_1h_1 = \exp X$ , and then, by the uniqueness in (4.5), we have that  $a_1 = a$ . So (i) is proved. To prove (ii) let  $f \in C_{W_0}^{\infty}(A_0)$ . First extend  $f$  to an  $\text{Ad}(K \cap H)$ -invariant function  $X \rightarrow f(\exp X)$  on  $q \cap p$  by (4.2), then use (4.3). Q.E.D.

*Remark.* If  $H$  is a nonconnected subgroup with Lie algebra  $\mathfrak{h}$  of the fixpoint group for  $\tau$ , then the theorem clearly remains true, provided  $G_0$  is defined by

$G_0 = K \cap H \exp(q \cap p)$ , and  $\overline{a_0^+}$  is a fundamental domain for the action of  $W_0$ .

We now turn to describe the duality. Let  $g_{\mathbb{C}} = g + ig$  be the complexification of  $g$ . Extend  $\sigma$  and  $\tau$  to complex linear involutions of  $g_{\mathbb{C}}$ . Define the real subalgebras of  $g_{\mathbb{C}}$ , dual to  $g, h$ , and  $k$  as follows:

$$\begin{aligned} g^0 &= g_0 + i(q \cap k + h \cap p), \\ h^0 &= h_{\mathbb{C}} \cap g^0 = h \cap k + i(h \cap p), \\ k^0 &= k_{\mathbb{C}} \cap g^0 = h \cap k + i(q \cap k). \end{aligned}$$

Let  $\sigma^0$  and  $\tau^0$  denote the restrictions of  $\sigma$  and  $\tau$  to  $g^0$ . Let  $p^0 = p_{\mathbb{C}} \cap g^0 = ih \cap p + q \cap p$  and  $q^0 = q_{\mathbb{C}} \cap g^0 = iq \cap k + q \cap p$ . Now notice that  $(g^0, \sigma^0, \tau^0, h^0, k^0)$  satisfies the same conditions that we had for  $(g, \tau, \sigma, k, h)$  in the beginning of this section. In particular  $h^0$  is a maximal compact subalgebra of  $g^0$ .

Notice that  $g_0 = h \cap k + q \cap p = h^0 \cap k^0 + q^0 \cap p^0$  corresponds to itself under the duality, such that  $a_0, a_0^+$  and  $W_0$  are the same for both settings. Now let  $G_{\mathbb{C}}$  be any connected linear group over  $\mathbb{C}$  with Lie algebra  $g_{\mathbb{C}}$ , for example  $G_{\mathbb{C}} = \text{Int}(g_{\mathbb{C}})$ . Let  $G, G^0, H, H^0$ , etc. be the analytic subgroups corresponding to  $g, g^0, h, h_0$ , etc. Since  $C_{W_0}^{\infty}(A_0)$  is the same for both settings, Theorem 4.1(ii) gives an isomorphism of  $C^{\infty}(K \backslash G/H)$  onto  $C^{\infty}(K^0 \backslash G^0/H^0)$ . This isomorphism turns out to preserve a lot of properties.

In  $C_c^{\infty}(K \backslash G/H)$ , the index  $c$  shall mean compact support modulo  $H$ , similarly in  $C_c^{\infty}(K^0 \backslash G^0/H^0)$  it shall mean compact support modulo  $K^0$ . On  $G/H$ , resp. on  $K^0 \backslash G^0$ , we use the left-, resp. right-, invariant measure derived from the pseudo-Riemannian structure.  $L^p(K \backslash G/H)$  and  $L^p(K^0 \backslash G^0/H^0)$  then has good meaning.

**THEOREM 4.2.** (i) *Let  $\mathcal{F}$  stand for  $C, C_c, C^{\infty}, C_c^{\infty}$ . There is an isomorphism  $f \rightarrow f^n$  of  $\mathcal{F}(K \backslash G/H)$  onto  $\mathcal{F}(K^0 \backslash G^0/H^0)$  uniquely determined by the condition that*

$$f(x) = f^n(x) \quad \text{for all } x \in G_0. \quad (4.6)$$

(ii) *If the measure on  $K^0 \backslash G^0$  is multiplied by a suitable positive constant, then  $f \rightarrow f^n$  extends to an isometry of  $L^p(K \backslash G/H)$  onto  $L^p(K^0 \backslash G^0/H^0)$  for  $1 \leq p \leq \infty$ .*

The only thing left to prove is part (ii). Since the proof of that uses the next theorem, we shall first state and prove that.

Let  $\mathbf{I}(p)$ , resp.  $\mathbf{I}(p^0)$ ,  $\mathbf{I}(q)$ , and  $\mathbf{I}(q^0)$ , denote the algebras of  $\text{Ad}(K)$ -, resp.  $\text{Ad}(K^0)$ -,  $\text{Ad}(H)$ -, and  $\text{Ad}(H^0)$ -, invariant polynomials on  $p$ , resp.  $p^0, q$ , and  $q^0$ . By [13, X, Theorem 2.7] the symmetrization mapping defines a linear one-to-one mapping of  $\mathbf{I}(p)$  onto  $\mathbf{D}_R(K \backslash G)$ . It is clear that any  $Q \in \mathbf{I}(p)$  has a unique extension, called  $Q_{\mathbb{C}}$ , to  $\mathbf{I}(p_{\mathbb{C}})$ , i.e. to a  $K_{\mathbb{C}}$ -invariant polynomial on the complex vector space  $p_{\mathbb{C}}$ , and that  $Q \rightarrow Q_{\mathbb{C}}$  is an isomorphism of  $\mathbf{I}(p)$  onto  $\mathbf{I}(p_{\mathbb{C}})$ .

Similar remarks hold for  $p^0$ ,  $q$ , and  $q^0$ . Now since  $p_{\mathbb{C}} = p_{\mathbb{C}}^0$  and  $K_{\mathbb{C}} = K_{\mathbb{C}}^0$ , we have hereby defined a bijective linear mapping  $D \rightarrow D^n$  of  $\mathbf{D}_R(K \setminus G)$  onto  $\mathbf{D}_R(K^0 \setminus G^0)$ , and similarly of  $\mathbf{D}(G/H)$  onto  $\mathbf{D}(G^0/H^0)$ .

$\mathbf{I}(p_{\mathbb{C}})$  is in one-to-one correspondence with  $\mathbf{D}_R^{\mathbb{C}}(K_{\mathbb{C}} \setminus G_{\mathbb{C}})$ , the right invariant holomorphic differential operators on  $K_{\mathbb{C}} \setminus G_{\mathbb{C}}$ , "holomorphic" understood in the obvious way.

**THEOREM 4.3.** (i) *For any  $f \in C^{\infty}(K \setminus G/H)$  and any  $D \in \mathbf{D}_R(K \setminus G) \cup \mathbf{D}(G/H)$  the following holds*

$$(Df)^n = D^n f^n. \quad (4.7)$$

(ii)  *$D \rightarrow D^n$  is an algebra isomorphism of  $\mathbf{D}_R(K \setminus G)$  onto  $\mathbf{D}_R(K^0 \setminus G^0)$ , resp. of  $\mathbf{D}(G/H)$  onto  $\mathbf{D}(G^0/H^0)$ .*

*Proof.* (i) It is enough to prove for  $D \in \mathbf{D}_R(K \setminus G)$  that

$$(Df)^n(a) = (D^n f^n)(a) \quad (4.8)$$

for each  $a \in A_0$  and  $f \in C^{\infty}(K \setminus G/H)$ , such that  $f$  is analytic in a neighborhood of  $a$  in  $G$ . So let such  $a$  and  $f$  be fixed. Let  $\Omega$  be a neighborhood of  $a$  in  $G_{\mathbb{C}}$ , such that  $f$  extends to a holomorphic function  $f^{\mathbb{C}}$  in  $\Omega$ . It is clear by analytic continuation that  $f^{\mathbb{C}}$  and  $f^n$  are identical on  $\Omega \cap G^0$ . Now let  $Q \in \mathbf{I}(p)$ ,  $Q^{\mathbb{C}} \in \mathbf{I}(p_{\mathbb{C}})$  and  $Q^0 \in \mathbf{I}(p^0)$  correspond as above. Let  $D \in \mathbf{D}_R(K \setminus G)$ ,  $D^{\mathbb{C}} \in \mathbf{D}_R^{\mathbb{C}}(K_{\mathbb{C}} \setminus G_{\mathbb{C}})$  and  $D^n \in \mathbf{D}_R(K^0 \setminus G^0)$  be the associated differential operators. Then it follows that:

$$Df(x) = D^{\mathbb{C}}f^{\mathbb{C}}(x) \quad \text{for all } x \in \Omega \cap G, \quad (4.9)$$

and

$$D^n f^n(x) = D^{\mathbb{C}} f^{\mathbb{C}}(x) \quad \text{for all } x \in \Omega \cap G^0. \quad (4.10)$$

Since  $a \in \Omega \cap G \cap G^0$ , (4.8) follows.

Now in order to prove (ii) take  $D_1, D_2 \in \mathbf{D}_R(K \setminus G)$ , and define  $D = (D_1 D_2)^n - D_1^n D_2^n \in \mathbf{D}_R(K^0 \setminus G^0)$ . Since  $D$  is right invariant it is enough to prove that  $D$  is zero at the point  $e = \{K^0\} \in K^0 \setminus G^0$ . So let  $g \in C^{\infty}(K^0 \setminus G^0)$  be analytic in a neighborhood of  $e$ . Proceeding as under (i) we can find a neighborhood  $\Omega$  of  $e$  in  $G_{\mathbb{C}}$ , and a holomorphic function  $f^{\mathbb{C}}$  in  $\Omega$ , such that the restriction of  $f^{\mathbb{C}}$  to  $\Omega \cap G^0$  is  $g$ , and the restriction  $f$  of  $f^{\mathbb{C}}$  to  $\Omega \cap G$  is in  $C^{\infty}(K \setminus G)$ . Then we find similarly to (4.9) and (4.10) that

$$Dg(e) = (D_1 D_2)^{\mathbb{C}} f^{\mathbb{C}}(e) - D_1^{\mathbb{C}} (D_2^{\mathbb{C}} f^{\mathbb{C}})(e) = D_1 D_2 f(e) - D_1 (D_2 f)(e) = 0.$$

Q.E.D.

*Remark.* Since we know that  $\mathbf{D}(G/H^0)$  is commutative,  $G/H^0$  being a Riemannian symmetric space (see [13, X, Theorem 2.9]), it follows from (ii) that  $\mathbf{D}(G/H)$  is commutative for any semisimple (i.e.,  $G$  is semisimple) pseudo-

Riemannian symmetric space  $G/H$ . The above proof of this is essentially due to Helgason (private communication). (See [20] for a more general result.)

*Proof of Theorem 4.2(ii).* Let  $\omega$ , resp.  $\omega^0$ , be the Laplace–Beltrami operator on  $G/H$ , resp.  $K^0 \backslash G^0$ . We can now use the “polar” decomposition of the Laplace–Beltrami operator in Helgason [17, p. 15 (see remark on p. 18)], with  $V := G/H$ ,  $H := K$  and  $W := A_0^+$ , to find that there exists a  $C^\infty$ -function  $\beta$  on  $A_0^+$  s.t. for all  $f \in C_c^\infty(K \backslash G/H)$

$$\int_{G/H} f(x) dx = \int_{A_0^+} f(a) \beta(a) da, \quad (4.11)$$

and for all  $a \in A_0^+$

$$\omega f(a) = \beta^{-1/2}(a) L(\beta^{1/2} f)(a) - \beta^{-1/2}(a) L(\beta^{1/2})(a). \quad (4.12)$$

$L$  is the Laplace operator on  $A_0$ . Similarly there is a  $C^\infty$ -function  $\beta_0$  on  $A_0^+$ , such that for all  $f \in C_c^\infty(K^0 \backslash G^0/H^0)$

$$\int_{K^0 \backslash G^0} f(x) dx = \int_{A_0^+} f(a) \beta_0(a) da, \quad (4.13)$$

and for all  $a \in A_0^+$

$$\omega^0 f(a) = \beta_0^{-1/2}(a) L(\beta_0^{1/2} f)(a) - \beta_0^{-1/2}(a) L(\beta_0^{1/2})(a). \quad (4.14)$$

Clearly  $\omega^0 = \omega^n$ , since  $\omega$  and  $\omega^0$  are the restrictions of the Cassimir operator on  $G$  and  $G^0$ , respectively. But this implies by (4.12) and (4.14) that  $\beta$  and  $\beta_0$  are proportional, which by (4.11) and (4.13) is what we should prove.

Q.E.D.

## 5. SPHERICAL FUNCTIONS ON A REAL SEMISIMPLE LIE GROUP $G_0$ AS FUNCTIONS ON THE COMPLEX GROUP $G$

Now we return to the notation of Section 2. So  $g_0$  is a noncompact real form of  $g$ .  $g_0 = k_0 + p_0$  is a Cartan decomposition and  $\sigma$  the Cartan involution of  $g_0$ . Denote also by  $\sigma$  the extension of  $\sigma$  to a conjugate linear automorphism of  $g$ . Let  $\tau$  be the complex linear extension of  $\sigma$  to  $g$ . The fixpoint set for  $\sigma$  is  $u = k_0 + ip_0$ , for  $\tau$  is  $k = k_0 + ik_0$ , and for  $\sigma\tau$  is  $g_0$ .

Now we can use the results of Section 4, where the role of  $(g, h, k, g_0, \tau, \sigma)$  now shall be played by  $(g, k, u, g_0, \tau, \sigma)$ . The decomposition (4.1) is just  $g = k_0 + ip_0 + ik_0 + p_0$ . The pseudo-Riemannian symmetric space in question is  $K \backslash G$ . Fortunately the notation is consistent, except for  $(h, k)$  which is now  $(k, u)$ . So in particular the meaning of  $a_0$  and  $a_0^+$  should be clear.



LEMMA 5.1. Up to an isomorphism the dual objects  $g^0$ ,  $k^0$ ,  $u^0$ ,  $\sigma^0$  and  $\tau^0$  is given by

$$\begin{aligned} g^0 &:= g_0 \times g_0, \\ k^0 &:= k_0 \times k_0, \\ u^0 &:= d(g_0) := \{(X, X) \mid X \in g_0\}, \\ \sigma^0(X, Y) &:= (Y, X) \quad \text{for all } (X, Y) \in g_0 \times g_0, \\ \text{and} \quad \tau^0(X, Y) &:= (\sigma(X), \sigma(Y)). \end{aligned}$$

The embedding of  $g_0$  in  $g^0$  is given by the mapping  $X \rightarrow (X, \sigma(X))$ . So in particular  $a_0$ , as a subalgebra of  $g^0$ , is given by

$$\{(H, -H) \mid H \in a_0\}.$$

*Proof.* This is a straightforward computation using the following complex linear isomorphism  $\Theta$  between  $g_{\mathbb{C}}$  and  $g \times g$ :

$$\Theta(X, Y) = \frac{1}{2}(X - jY) + \frac{1}{2}(\sigma(Y) + j\sigma(Y)), \quad (5.1)$$

for  $(X, Y) \in g \times g$ . Where  $j = (-1)^{1/2}$  in  $g_{\mathbb{C}}$ , and  $i$  is multiplication by  $(-1)^{1/2}$  in  $g$ , here considered as a vectorspace isomorphism of the real space  $g$ . Q.E.D.

We see from the lemma that the dual pseudo-Riemannian symmetric space  $G^0/U^0$  is just  $G_0 \times G_0/d(G_0)$ . This is diffeomorphic to  $G_0$  via the mapping

$$(x, y) d(G_0) \rightarrow xy^{-1}. \quad (5.2)$$

Under this diffeomorphism  $\mathcal{F}(K_0 \times K_0 \backslash G_0 \times G_0/d(G_0))$  is identified with  $\mathcal{F}(K_0 \backslash G_0/K_0)$  for  $\mathcal{F} = C, C_c, C_c^\alpha, L^p$ , etc.  $\mathbf{D}_R(K_0 \times K_0 \backslash G_0 \times G_0)$  is identified with  $\mathbf{D}_R(K_0 \backslash G_0) \otimes \mathbf{D}(G_0/K_0)$ , and  $\mathbf{D}(G_0 \times G_0/d(G_0))$  is identified with  $\mathbf{Z}(G_0)$ . Thus we can restate Theorems 4.2 and 4.3 in our situation.

THEOREM 5.2. (i) Let  $\mathcal{F}$  stand for  $C, C_c, C_c^\alpha, C^\infty$  or  $L^p$ ,  $1 \leq p \leq \infty$ . There is an isomorphism  $f \rightarrow f^n$  of  $\mathcal{F}(K_0 \backslash G_0/K_0)$  onto  $\mathcal{F}(K \backslash G/U)$  such that

$$f^n(x) = f(x\sigma(x)^{-1}), \quad x \in G_0. \quad (5.3)$$

(ii) There is an isomorphism  $D \rightarrow D^n$  of  $\mathbf{D}_R(K_0 \backslash G_0) \otimes \mathbf{D}(G_0/K_0)$  onto  $\mathbf{D}_R(K \backslash G)$  and of  $\mathbf{Z}(G_0)$  onto  $\mathbf{D}(G/U)$ , such that

$$(Df)^n = D^n f^n \quad (5.4)$$

for all  $f \in C^\infty(K_0 \backslash G_0/K_0)$ .

*Remark.* From now on we shall identify  $\mathcal{F}(K_0 \backslash G_0/K_0)$  with  $\mathcal{F}(K \backslash G/U)$ , and write  $f$  instead of  $f^n$ , when no confusion is possible. One should notice,

that if  $\omega_0$  and  $\omega$  are the Cassimir operators on  $G_0$  and  $G$ , respectively, then  $(\omega_0)^n = \frac{1}{2}\omega$ . Also if  $\varphi_\lambda$  is a spherical function on  $G_0$  then for  $H \in a_0$

$$(\varphi_\lambda)^n(\exp H) = \varphi_\lambda(\exp(2H)).$$

COROLLARY 5.3.  $\varphi$  is a spherical function on  $G_0$  if and only if

$$\begin{aligned} \varphi &\in C^x(K \backslash G/U), & \varphi(e) &= 1 \\ \text{and} & & & \\ D\varphi &= \lambda_D \varphi & \text{for all } D \in \mathbf{D}_R(K \backslash G). \end{aligned} \quad (5.5)$$

*Proof.* This is an immediate consequence of Theorem 5.2 and Definition (2.12). Q.E.D.

We have thus proved Theorem 1.1.

COROLLARY 5.4. If  $\varphi$  is a spherical function on  $G_0$ , then  $\Phi \in C^x(U \backslash G/U)$ , defined by

$$\Phi(x) = \int_U \varphi(ux) du, \quad x \in G, \quad (5.6)$$

is a spherical function on  $G$ .

*Proof.* Since  $\mathbf{Z}(G_0)$  restricted to  $G_0/K_0$  is contained in  $\mathbf{D}(G_0/K_0)$ , it follows by Theorem 5.2 (ii), that  $\Phi$  is an eigenfunction of all  $D \in \mathbf{D}(G/U)$ . Clearly  $\Phi(e) = 1$  and the corollary follows from Definition (2.12). Q.E.D.

This corollary defines using (2.13) a mapping  $\lambda \rightarrow A$  from  $(a_0)_\mathbb{C}^*(\text{mod } W_0)$  into  $a_\mathbb{C}^*(\text{mod } W)$ . In the next theorem we describe this mapping. Recall that  $a = a_0 \div a_k$ , that we have embedded  $a_0^*$  in  $a^*$ , and that  $\rho = 2(\rho_0 + \rho_k)$ .

THEOREM 5.5. For each  $\lambda \in (a_0)_\mathbb{C}^*$  define  $A \in a_\mathbb{C}^*$  by

$$A = 2(\lambda - i\rho_k), \quad (5.7)$$

then

$$\Phi_A(x) = \int_U \varphi_\lambda(ux) du. \quad (5.8)$$

*Proof.* We shall first prove this theorem for the discrete subset  $F$  of  $\lambda$ 's in  $(a_0)_\mathbb{C}^*$  for which the spherical function  $\varphi_\lambda$  is a matrix coefficient of a finite-dimensional representation of  $G_0$ . The following properties of these representations follow from [16, Chap. III, Section 3]. If  $\lambda \in F$ , then  $\lambda$  is purely imaginary, so by conjugating by  $W_0$  we can assume  $\lambda \in F^+ = \{\lambda \in F \mid i\lambda \in \overline{a_0^{*+}}\}$ .  $F^+$  is given by

$$F^+ = \left\{ \lambda \in (a_0)_\mathbb{C}^* \mid \frac{\langle i\lambda - \rho_0, \alpha \rangle}{\langle \alpha, \alpha \rangle} \in \mathbb{Z}^+ \text{ for all } \alpha \in \Delta_0^+ \right\}. \quad (5.9)$$

If  $\lambda \in F^+$  then  $\Lambda_0 = i\lambda - \rho_0$  is the dominant weight of the corresponding finite-dimensional class-one representation  $E_{\Lambda}$  of  $G_0$ .

Let  $E_{\Lambda_0}^*$  be the contragredient representation to  $E_{\Lambda_0}$ . We can take  $E_{\Lambda_0}^*$  to be  $E_{-\Lambda_0}$ , where we choose an ordering "opposite" of the one for  $E_{\Lambda_0}$ , i.e., we define  $-a^+$  to be the positive Weyl chamber. Then  $E = E_{\Lambda_0} \otimes E_{-\Lambda_0}$  is an irreducible representation of  $G_0 \times G_0$ , which is of class-one w.r.t.  $K_0 \times K_0$ , and has a fixed vector for  $d(G_0)$ .

The dominant weight of  $g \times g$  corresponding to  $E$  is  $(\Lambda_0, -\Lambda_0)$ . The spherical function on  $G_0 \times G_0$  corresponding to  $E$  is

$$\begin{aligned} \varphi_{\lambda}(x) \varphi_{-\lambda}(y) &= \varphi_{\lambda}(x) \varphi_{\lambda}(y^{-1}) = \int_{K_0} \varphi_{\lambda}(xky^{-1}) dk \\ &= \int_{K_0 \times K_0} \varphi_{\lambda}(xk_1, yk_2) dk_1 dk_2 \end{aligned}$$

for  $(x, y) \in G_0 \times G_0$ . (The first equality follows from [29, vol II, Proposition 6.2.2.1], in the last we have used (5.2).) Clearly  $(x, y) \rightarrow \varphi_{\lambda}(x, y) = \varphi_{\lambda}(xy^{-1})$ , contained in  $C^{\infty}(K_0 \times K_0 \backslash G_0 \times G_0 / d(G_0))$ , is a matrix coefficient of  $E$ .  $E$  extends to a holomorphic representation  $E^{\mathbb{C}}$  of  $G \times G$ . Let  $E^n$  be the restriction of  $E^{\mathbb{C}}$  to  $G$  (embedded as in Lemma 5.1). Now by analytic continuation we see that  $(\varphi_{\lambda})^n \in C^{\infty}(K \backslash G / U)$  is a matrix coefficient of  $E^n$ .

It is clear that  $E^n$  has a fixed vector for  $K$  and is of class-one w.r.t.  $U$ . Using (5.9) again we see that the corresponding spherical function is  $\Phi_{\Lambda}$ , with  $i\Lambda - \rho = (\Lambda_0, -\Lambda_0)$ . Since  $a$  is embedded in  $g \times g$  (see the proof of Lemma 5.1) as  $\{(H, -H) : H \in \mathfrak{a}\}$ , we get

$$i\Lambda(H) - \rho(H) = 2\Lambda_0(H) = 2i\lambda(H) - 2\rho_0(H),$$

from this follows that  $\Lambda = 2(\lambda - i\rho_k)$ . As we have seen that  $(\varphi_{\lambda})^n$  is a matrix coefficient of  $E^n$ , we finally get

$$\Phi_{\Lambda}(x) = \int_U \varphi_{\lambda}(ux) du.$$

So we have proved Theorem 5.5 for  $\lambda \in F$ .

Now to prove (5.7) for an arbitrary  $\lambda \in (\mathfrak{a}_0)_{\mathbb{C}}^*$ , define  $\Phi_{\Lambda}$  by (5.8), then for each  $D \in \mathbf{D}(G/U)$

$$D\varphi_{\lambda} = P_0(\lambda) \varphi_{\lambda}, \quad D\Phi_{\Lambda} = P(\Lambda) \Phi_{\Lambda},$$

where by the remark after (2.13)  $P_0$  and  $P$  are polynomials. We have shown that if  $\mu \in F$  then  $P_0(\mu) = P(2(\mu - i\rho_k))$ . Since this is a polynomial identity, it holds for all  $\mu \in (\mathfrak{a}^0)_{\mathbb{C}}^*$ . So we can conclude that  $\Phi_{\Lambda}$  and  $\Phi_{2(\lambda - i\rho_k)}$  have the same eigenvalues for each  $D \in \mathbf{D}(G/U)$ , hence they are equal. Q.E.D.

PROPOSITION 5.6. *The mapping  $\varphi_\lambda \rightarrow \Phi_{2(\lambda - i\rho_k)}$  is injective, whenever the following property holds*

$$\{P|_{a_0} \mid P \in \mathbf{I}_W(a)\} = \mathbf{I}_{W_0}(a_0). \quad (5.10)$$

*Proof.* (5.10) is equivalent to the property that the restriction of  $\mathbf{Z}(G_0)$  to  $G_0/K_0$  is surjective onto  $\mathbf{D}(G_0/K_0)$ , see Helgason [14, p. 590]. This means, by Theorem 5.2, that the eigenvalues of  $\varphi_\lambda$  for all  $D \in \mathbf{D}(G_0/K_0)$  is determined by the eigenvalues of  $\Phi_{2(\lambda - i\rho_k)}$  for all  $D \in \mathbf{Z}(G_0)$ . Hence  $\varphi_\lambda$  is uniquely determined by  $\Phi_{2(\lambda - i\rho_k)}$ . Q.E.D.

PROPOSITION 5.7. (Helgason [14]). *Property (5.10) holds if  $g_0$  does not contain any simple ideal which is a non-normal form of type  $E_6$ ,  $E_7$ , or  $E_8$ .*

EXAMPLE. Let us compute the eigenvalue  $A_\omega$  of  $\Phi_{2(\lambda - i\rho_k)}$  for  $\omega$ . We use (2.14):

$$\begin{aligned} A_\omega &= -(\langle 2(\lambda - i\rho_k), 2(\lambda - i\rho_k) \rangle + \langle \rho, \rho \rangle) \\ &= -(\tfrac{1}{2}\langle 2\lambda, 2\lambda \rangle_0 - \langle 2\rho_k, 2\rho_k \rangle + \langle 2\rho_0 + 2\rho_k, 2\rho_0 + 2\rho_k \rangle) \\ &= -2(\langle \lambda, \lambda \rangle_0 + \langle \rho_0, \rho_0 \rangle_0). \end{aligned}$$

This is twice the eigenvalue of  $\varphi_\lambda$  for  $\omega_0$ , in accordance with the fact that  $(\omega_0)^n = \frac{1}{2}\omega$ .

Now we indicate how Theorem 2.3, which characterizes the bounded spherical functions on  $G_0$ , can be proved by a reduction to the same theorem for the complex group  $G$ . We shall need the following lemma:

LEMMA 5.8. *Let  $\lambda \in (a_0)_\mathbb{C}^*$ , then*

$$\lambda \in a_0^* + iC_{\rho_0} \quad \text{if and only if} \quad 2(\lambda - i\rho_k) \in a^* + iC_\rho.$$

*Proof.* Two simple consequences of Lemma 2.5 in [18] are

(i) If  $\eta \in a_0^{*+}$ , then  $\eta \in C_{\rho_0}$  if and only if

$$\langle \eta - \rho_0, H \rangle_0 < 0 \quad \text{for all } H \in a_0^+.$$

(ii) If  $\eta \in a_0^*$  and there exists  $H \in \overline{a_0^+}$  such that  $\langle \eta - \rho_0, H \rangle_0 > 0$ , then  $\eta \notin C_{\rho_0}$ .

It follows from (2.3) that  $2(i\rho_0 - i\rho_k)$  is conjugate to  $2i(\rho_0 + \rho_k) = i\rho$  under  $W$ . Therefore  $2(iC_{\rho_0} - i\rho_k) \subset iC_\rho$ , and thus  $2(a_0^* + iC_{\rho_0} - i\rho_k) \subset a^* + iC_\rho$ .

Now assume that  $\lambda = \xi + i\eta$  and  $\eta \notin C_{\rho_0}$ , conjugating by  $W_0$  we may take  $-\eta \in \overline{a_0^+}$ . By (i) there exists  $H_0 \in a_0^+$  such that  $\langle -\eta - \rho_0, H_0 \rangle_0 > 0$  and thus  $\langle 2(-\eta + \rho_k) - \rho, H_0 \rangle = \langle 2(-\eta - \rho_0), H_0 \rangle > 0$ . Since  $H_0 \in a_0^+ \subset \overline{a^+}$  we now conclude from (ii) that  $-2(\eta - \rho_k) \notin C_\rho = -C_\rho$ , and therefore that

$$2(\lambda + i\eta - i\rho_k) \notin a^* + iC_\rho.$$

Q.E.D.

Now assume that  $\lambda \in a_0^* + iC_{\rho_0}$ , then the boundedness of  $\varphi_\lambda$  is an immediate consequence of the maximum principle for the entire function  $\lambda \rightarrow \varphi_\lambda(g)$  and the fact that  $|\varphi_{\xi+is\rho}(g)| \leq \varphi_{is\rho}(g) = 1$  for  $\xi \in a_0^*$ ,  $s \in W$ ,  $g \in G_0$  (cf. [18, final remark]).

On the other hand assume that  $\lambda \notin a_0^* + iC_{\rho_0}$ , but that  $\varphi_\lambda$  is bounded. Then by (5.8)  $\Phi_{2(\lambda-i\rho_k)}$  is also bounded, so by Theorem 2.3 for  $G$ ,  $2(\lambda-i\rho_k) \in a^* + iC_\rho$ , but this contradicts Lemma 5.8.

**COROLLARY 5.9.** *Let  $\lambda \in (a_0)_\mathbb{C}^*$ , then  $\varphi_\lambda$  is bounded if and only if  $\Phi_{2(\lambda-i\rho_k)}$  is bounded.*

## 6. APPLICATIONS TO THE SPHERICAL FOURIER TRANSFORM ON $G_0/K_0$

Let  $f \in L^1_{\text{loc}}(K \backslash G/U)$  and  $F \in L^1_{\text{loc}}(U \backslash G/U)$ , define  $M_0 f \in L^1_{\text{loc}}(U \backslash G/U)$  by

$$M_0 f(x) = \int_U f(ux) du, \quad x \in G, \quad (6.1)$$

and  $MF \in L^1_{\text{loc}}(K \backslash G/U)$  by

$$MF(x) = \int_K F(hx) dh, \quad x \in G, \quad (6.2)$$

whenever well defined almost everywhere. If furthermore  $F \cdot f \in L^1(G)$  then by (2.11) and Fubini's theorem

$$\int_G F(x)f(x) dx = \int_G F(x) M_0 f(x) dx = \int_{K \backslash G} MF(x)f(x) dx. \quad (6.3)$$

In particular we get by Theorems 5.5 and 2.3:

**THEOREM 6.1.** *Let  $F \in L^1_{\text{loc}}(U \backslash G/U)$  and  $\lambda \in (a_0)_\mathbb{C}^*$  be such that  $MF$  is well defined and  $F\varphi_\lambda \in L^1(G)$ . Then with  $\Lambda = 2(\lambda - i\rho_k)$  we have*

$$(MF)^\sim(\lambda) = F^\sim(\Lambda). \quad (6.4)$$

This holds in particular, if  $F$  has compact support, or if  $F \in L^1$  and  $\lambda \in a_0^* + iC_{\rho_0}$ . We can now study the “real” spherical Fourier transform on  $G_0$  by means of the “complex” spherical Fourier transform on  $G$ . As we saw in Section 3, this in turn can often be studied by means of the Euclidean Fourier transform.

We shall first discuss Theorem 2.2 (the Paley–Wiener theorem) and Theorem 2.4 (about the spherical  $L^1$ -Schwartz space) for  $G_0$ , and try to reduce them to the corresponding theorems for  $G$ .

LEMMA 6.2. (i) If  $F \in C_c^\infty(U \backslash G/U)$  has support in a ball of radius  $R$ , then  $MF \in C_c^\infty(K \backslash G/U)$  has support in a ball of radius  $2^{1/2}R$ .

(ii) If  $F \in \mathcal{S}^1(G)$  then  $MF$  belongs to

$$\begin{aligned} \mathcal{S}_1^1(G_0) = \{ & f \in C^\infty(K \backslash G/U) \mid \forall D \in \mathbf{D}(G), \forall N \in \mathbb{Z}^+, \\ & x \rightarrow (1 + |x|)^N Df \text{ belongs to } L^1(K \backslash G)\}. \end{aligned}$$

*Proof.* (ii) Recall that  $p = ik_0 + p_0$ , that  $ik_0 \perp p_0$ , and that we have defined  $|x|$ , such that if  $X \in p$  and  $u_1, u_2 \in U$  then  $|u_1 \exp X \cdot u_2| = \|X\|$ . Now it is a simple consequence of the negative sectional curvature of  $G/U$ , that

$$|\exp Y| \leq |\exp X \exp Y| \quad \text{for all } X \in p_0, Y \in ik_0.$$

Since  $K = K_0 \exp(ik_0)$  we find

$$2^{-1/2} |y|_0 = |y| \leq |hy| \quad \text{for all } y \in \exp(p_0), h \in K. \quad (6.5)$$

So assume that  $F \in \mathcal{S}^1(G)$ , then for all  $D \in \mathbf{D}(G)$  and all  $N \in \mathbb{Z}^+$  the function  $F_{N,D}(x) = (1 + |x|)^N DF(x)$  is in  $L^1(G)$ . Therefore by (2.11) the function  $y \rightarrow \int_K |F_{N,D}(hy)| dh$  is in  $L^1(K \backslash G)$ , and we find for  $y \in \exp(p_0)$ , using (6.5), that

$$\begin{aligned} |(1 + |y|)^N D(MF)(y)| &= \left| (1 + |y|)^N \int_K DF(hy) dh \right| \\ &\leq \int_K |(1 + |hy|)^N DF(hy)| dh = \int_K |F_{N,D}(hy)| dh. \end{aligned}$$

From which follows that  $MF \in \mathcal{S}_1^1(G_0)$ , and (ii) is proved.

(i) follows easily from (6.5).

Q.E.D.

One can now ask when  $M$  is a bijection of  $C_c^\infty(U \backslash G/U)$  onto  $C_c^\infty(K \backslash G/U)$ , or of  $\mathcal{S}^1(G)$  onto  $\mathcal{S}_1^1(G_0)$ . For a normal real form  $G_0$  we prove this, at the same time we prove the Paley-Wiener theorem (Theorem 2.2) and a slight variation of Theorem 2.4.

THEOREM 6.3. Assume that  $G_0$  is a normal real form. Then the spherical Fourier transform is a bijection

- (i) of  $C_c^\infty(K_0 \backslash G_0/K_0)$  onto  $\mathcal{H}(a_0^*)$ , and
- (ii) of  $\mathcal{S}_1^1(G_0)$  onto  $\mathcal{H}_{\rho_0}(a_0^*)$ .

Also  $f \in C_c^\infty(K_0 \backslash G_0/K_0)$  has support in a ball of radius  $R$  if and only if  $f^\sim$  is of exponential type  $R$ . Furthermore the mapping  $M$  is a bijection

- (iii) of  $C_c^\infty(U \backslash G/U)$  onto  $C_c^\infty(K_0 \backslash G_0/K_0)$ , and
- (iv) of  $\mathcal{S}^1(G)$  onto  $\mathcal{S}_1^1(G_0)$ .

*Proof.* It is easy to see that the spherical Fourier transform maps  $C_c^\infty(K_0 \backslash G_0 / K_0)$  into  $\mathcal{H}(a_0^*)$ , and that  $f^\sim$  has exponential type  $R$ , if  $f$  has support in a ball of radius  $R$ . (One uses that  $f^\sim$  is the Euclidean Fourier transform on  $a_0$  of the Radon transform  $F_f(\exp H) = e^{\langle \rho, H \rangle} \int_N f(\exp H \cdot n) dn$ ,  $H \in a_0$ .) It is also fairly easy to show that if  $f \in \mathcal{I}_1^1(G_0)$ , then  $f^\sim \in \mathcal{H}_{\rho_0}(a^*)$ . (See Helgason [16, pp. 28–31], and notice that the partial integrations used to prove that

$$(\omega^n f)^\sim(\lambda) = -(\langle \lambda, \lambda \rangle_0 + \langle \rho_0, \rho_0 \rangle_0)^n f^\sim(\lambda)$$

can be carried out, integrating  $f^n \varphi_\lambda^n$  over  $K \backslash G$ , instead of  $f \varphi_\lambda$  over  $G_0$ .)

If now  $\psi$  is a function on  $a^*$ , denote by  $j(\psi)$  the function on  $a_0^*$  given by  $\lambda \rightarrow \psi(2(\lambda - i\rho_k))$  (if  $\rho_k \neq 0$  one assumes that  $\psi$  is holomorphic in a tube containing  $a_0^* - 2i\rho_k$ ). If  $\psi \in \mathcal{H}(a^*)$  is of exponential type  $R$ , then  $j(\psi) \in \mathcal{H}(a_0^*)$  is of exponential type  $2^{1/2}R$ , (recall that  $\|\lambda\|_0 = 2^{1/2}\|\lambda\|$  for all  $\lambda \in a_0^*$ ). Also, if  $\psi \in \mathcal{H}_\rho(a^*)$ , then by Lemma 5.8  $j(\psi) \in \mathcal{H}_{\rho_0}(a_0^*)$ . We have then the two following commuting diagrams:

$$\begin{array}{ccc} C_c^\infty(U \backslash G / U) & \xrightarrow{\quad \wedge \quad} & \mathcal{H}(a^*) \\ \downarrow M & & \downarrow j \\ C_c^\infty(K_0 \backslash G_0 / K_0) & \xrightarrow{\quad \sim \quad} & \mathcal{H}(a_0^*) \end{array} \quad (6.6)$$

and

$$\begin{array}{ccc} \mathcal{I}_1^1(G) & \xrightarrow{\quad \wedge \quad} & \mathcal{H}_\rho(a^*) \\ \downarrow M & & \downarrow j \\ \mathcal{I}_1^1(G_0) & \xrightarrow{\quad \sim \quad} & \mathcal{H}_{\rho_0}(a_0^*). \end{array} \quad (6.7)$$

Now  $a_0 = a$ ,  $W_0 = W$ , and  $\rho_k = 0$  since  $G_0$  is a normal form. Therefore  $j$  is a bijection in (6.6) and (6.7). In particular  $j$  is surjective. From Section 3 we know that “ $\wedge$ ” is bijective, and it is easy to see that “ $\sim$ ” is injective (see Helgason [13, X, exercise E.1]). Thus we conclude that “ $\sim$ ” is bijective, and that  $M$  is surjective. We know also that  $j$  is injective. So we conclude that  $M$  is bijective. The statement about the support follows from Lemma 6.2(i). Q.E.D.

*Remark.* One should notice that this proof of Theorem 6.3 does not depend on any knowledge of the  $c$ -function, the inversion formula or the Plancherel formula for  $G_0$ . It is a simple reduction to the complex case. If  $G_0$  is not a normal form we cannot expect  $M$  to be injective, but under the following two conditions the rest of the theorem will hold for  $G_0$ , with the same proof.

$$j: \mathcal{H}(a^*) \rightarrow \mathcal{H}(a_0^*) \quad \text{is onto.} \quad (6.8)$$

$$j: \mathcal{H}_\rho(a^*) \rightarrow \mathcal{H}_{\rho_0}(a_0^*) \quad \text{is onto.} \quad (6.9)$$

We shall below make an attempt to prove (6.8); but only obtain the following partial results:

**THEOREM 6.4.** (i) Let  $\psi_0 \in \mathcal{H}(a_0^*)$  be of exponential type  $R$ , and assume that  $\psi_0$  is radial (i.e. invariant under orthogonal transformations of  $a_0^*$ ). Then there exists  $\psi \in \mathcal{H}(a^*)$  of exponential type  $2^{-1/2}R$ , such that  $j(\psi) = \psi_0$ .

(ii) In particular if  $G_0/K_0$  has rank one then  $j: \mathcal{H}(a^*) \rightarrow \mathcal{H}(a_0^*)$  is onto.

(iii) Assume that  $G_0$  satisfies (5.10). Let  $\psi_0 \in \mathcal{H}(a_0^*)$ . There exists an entire,  $W$ -invariant function  $\psi$  on  $a_{\mathbb{C}}^*$ , such that  $j(\psi) = \psi_0$ .

Before we prove the theorem we derive a simple corollary and prove a lemma.

**COROLLARY 6.5.** If  $G_0/K_0$  has rank one then

$$M: C_c^\infty(U \backslash G/U) \rightarrow C_c^\infty(K_0 \backslash G_0/K_0) \text{ is onto.}$$

*Proof.* It is an immediate consequence of the proof of Theorem 6.3 and Theorem 6.4(ii). Q.E.D.

**LEMMA 6.6.** Let  $P_1, \dots, P_n$  be algebraically independent polynomials on  $(a_0)_{\mathbb{C}}^*$ , such that  $P_1, \dots, P_n$  generate  $\mathbf{I}(a_0^*)$ , then

$$\mathbb{C}^n = \{(P_1(x), \dots, P_n(x)) \mid x \in \mathbb{C}^n\}.$$

*Proof.* By assumption the mapping  $\Gamma: \mathbb{C}[P_1, \dots, P_n] \rightarrow \mathbb{C}[X_1, \dots, X_n]$ , defined by  $\Gamma(Q(P_1, \dots, P_n)) \rightarrow Q(P_1(X), \dots, P_n(X))$ , is an injection. Let now  $a \in \mathbb{C}^n$  determine the maximal ideal  $\mathcal{J}_a = (P_1 - a_1) \cdots (P_n - a_n) \mathbb{C}[P_1, \dots, P_n]$ . By [13, X, Theorem 5.5(i) and Lemma 6.9], there exists a maximal ideal

$$\mathcal{J}_b \text{ in } \mathbb{C}[X_1, \dots, X_n], \quad \mathcal{J}_b = (X_1 - b_1) \cdots (X_n - b_n) \mathbb{C}[X_1, \dots, X_n],$$

with  $b \in \mathbb{C}^n$ , such that

$$\mathcal{J}_b \cap \Gamma(\mathbb{C}[P_1, \dots, P_n]) = \Gamma(\mathcal{J}_a).$$

In other words if  $X_1 = b_1, \dots, X_n = b_n$ , then  $P(X_1) = a_1, \dots, P(X_n) = a_n$ . Q.E.D.

*Proof of Theorem 6.4 (iii).* Let  $n = \dim a$  and  $m = \dim a_0$ .

Let  $P_1, \dots, P_n$  be homogeneous, algebraically independent generators of  $\mathbf{I}(a^*)$ , the  $W$ -invariant polynomials on  $a^*$ . Let “ $\rightarrow$ ” denote restriction to  $a_0^*$ . We can assume by (5.10) that  $\bar{P}_1, \dots, \bar{P}_m$  are algebraically independent and generate  $\mathbf{I}(a_0^*)$ .

Choose a basis and write with  $a_\nu \in \mathbb{C}$ :

$$\psi_0(\lambda) = \sum_{\nu} a_{\nu} \lambda^{\nu} = \sum_{N \in \mathbb{Z}^+} \sum_{|\nu|=N} a_{\nu} \lambda^{\nu}, \quad \lambda \in a_0^* \quad (6.10)$$



where  $\nu \in (\mathbb{Z}^+)^m$ ,  $\lambda^\nu = \lambda_1^{\nu_1} \cdots \lambda_m^{\nu_m}$  and  $|\nu| = \nu_1 + \cdots + \nu_m$ . Clearly  $Q_N = \sum_{|\nu|=N} a_\nu \lambda^\nu$  is a  $W_0$ -invariant homogeneous polynomial. Let  $\gamma_1, \dots, \gamma_m$  be the degrees of  $P_1, \dots, P_m$ . Define  $\|\mu\| = \sum_{i=1}^m \mu_i \gamma_i$  for  $\mu \in (\mathbb{Z}^+)^m$ . Now we can write

$$Q_N = \sum_{\|\mu\|=N} b_\mu \bar{P}^\mu, \quad (6.11)$$

where  $b_\mu \in \mathbb{C}$  and  $\bar{P}^\mu = \bar{P}_1^{\mu_1} \cdots \bar{P}_m^{\mu_m}$ . Combining (6.10) and (6.11) we get

$$\psi_0(\lambda) = \sum_{N \in \mathbb{Z}^+} \sum_{\|\mu\|=N} b_\mu \bar{P}^\mu(\lambda), \quad \lambda \in a_0^*. \quad (6.12)$$

Now Lemma 6.6 and the absolute convergence of (6.10) imply the absolute convergence of

$$\Psi_0(t) = \sum_{N \in \mathbb{Z}^+} \sum_{\|\mu\|=N} b_\mu t^\mu, \quad (6.13)$$

for any value of the variable  $t \in \mathbb{C}^n$ .

We then write for  $i = 1, \dots, m$  and  $\lambda \in (a_0)_\mathbb{C}^*$

$$P_i(2(\lambda - i\rho_k)) = 2^{\gamma_i} \bar{P}_i(\lambda) + R_i(\lambda);$$

where  $R_i \in \mathbf{I}(a_0^*)$  and  $\text{degree}(R_i) < \text{degree}(P_i)$ . An easy induction shows that we can find  $Q_1, \dots, Q_m \in \mathbf{I}(a^*)$  such that  $j(Q_i) = \bar{P}_i$ , i.e.,

$$Q_i(2(\lambda - i\rho_k)) = P_i(\lambda) \quad \text{for all } \lambda \in (a_0)_\mathbb{C}^*. \quad (6.14)$$

The function

$$\psi(A) = \Psi_0(Q_1(A), \dots, Q_m(A))$$

now satisfies Theorem 6.4 (iii). Q.E.D.

*Remark.* Let the notation be as in the above proof. For each  $A$  in  $a_\mathbb{C}^*$  let  $\lambda$  in  $(a_0)_\mathbb{C}^*$  be such that

$$P_i(\lambda) = Q_i(A), \quad i = 1, \dots, m. \quad (6.15)$$

Now in order to prove condition (6.8), it would be enough to prove that there exist constants  $C_1 > 0$ ,  $C_2 \in \mathbb{R}$  such that

$$\|\text{Im } \lambda\|_0 \leq C_1 \|\text{Im } A\| + C_2. \quad (6.16)$$

If we also want the exponential type of  $\psi$  to be  $2^{-1/2}R$ , if  $\psi_0$  is of exponential type  $R$  (cf. proof of Theorem 6.3), we must have  $C_1 = 2^{-1/2}$ .

*Proof of Theorem 6.4 (i) and (ii).* We use the notation from the proof above. We can assume that  $\bar{P}_1(\lambda) = \langle \lambda, \lambda \rangle_0$ , then we can take  $Q_1(A) = \frac{1}{2} \langle A, A \rangle + 2 \langle \rho_k, \rho_k \rangle$  in order for (6.14) to be satisfied. Since  $\psi_0$  is radial, only  $\bar{P}_1$  will occur

in (6.12). So for given  $A$  in  $a_{\mathbb{C}}^*$  we just have to find  $\lambda \in (a_0)_{\mathbb{C}}^*$ , such that (6.15) holds for  $i = 1$  and (6.16) holds with  $C_1 = 2^{-1/2}$ . Let  $A = \xi + i\eta$  and  $\lambda = \xi_0 + i\eta_0$ . We seek  $\lambda$ , such that

$$\begin{aligned}\langle \lambda, \lambda \rangle_0 &= 2(\|\xi_0\|^2 - \|\eta_0\|^2 + 2i\langle \xi_0, \eta_0 \rangle) \\ &= \frac{1}{2}\langle A, A \rangle + 2\langle \rho_k, \rho_k \rangle \\ &= \frac{1}{2}(\|\xi\|^2 - \|\eta\|^2 + 2i\langle \xi, \eta \rangle) + 2\langle \rho_k, \rho_k \rangle,\end{aligned}\quad (6.17)$$

and

$$2^{1/2} \|\eta_0\|_0 = 2 \|\eta_0\| \leq \|\eta\| + C.$$

Choose an orthonormal basis of  $a^*$ , such that  $a_0^*$  is spanned by the first  $m$  basis vectors. Write  $\lambda = (\lambda_1, \dots, \lambda_m, 0, \dots, 0)$  and  $A = (A_1, \dots, A_n)$  accordingly. We try to solve (6.17) with  $\lambda$  of the form  $\lambda = (\xi_0 + i\eta_0, 0, \dots, 0)$ ,  $\xi_0, \eta_0 \in \mathbb{R}$ . Since (6.17) is not changed by applying an orthogonal transformation to  $A$ , we can assume  $A_3 = A_4 = \dots = A_n = 0$ . We have then reduced the problem to the case of  $\dim a = 2$ ,  $\dim a_0 = 1$ , and we have with  $A_i = \xi_i + i\eta_i$ ,  $i = 1, 2$ , that

$$4(\xi_0^2 - \eta_0^2 + 2i\xi_0\eta_0) = s^2 - t^2 + 2istc_0 + 4\langle \rho_k, \rho_k \rangle, \quad (6.18)$$

where  $s = (\xi_1^2 + \xi_2^2)^{1/2}$ ,  $t = (\eta_1^2 + \eta_2^2)^{1/2}$  and  $c_0 = (st)^{-1}(\xi_1\eta_1 + \xi_2\eta_2)$ . From which it easily follows that  $4\eta_0^2 \leq \eta_1^2 + \eta_2^2$ , which is exactly what we want.

Now since  $\psi_0 \in \mathcal{H}(a_0^*)$  if of exponential type  $R$  we have

$$\sup_{A \in a_{\mathbb{C}}^*} |e^{-2^{-1/2}R\|\eta\|} \psi(A)| \leq \sup_{\lambda \in (a_0)_{\mathbb{C}}^*} |e^{-R\|\eta_0\|_0} \psi_0(\lambda)| < +\infty.$$

Therefore  $\psi$  has exponential type  $2^{-1/2}R$ . We just need to show that  $\psi$  is rapidly decreasing on  $a^*$ : but for  $A \in a^*$  we have by (6.18) that  $\|\lambda\|_0^2 = \frac{1}{2}\|A\|^2 + 2\|\rho_k\|^2$ , and therefore for all  $N \in \mathbb{Z}^+$ ,

$$\begin{aligned}\sup_{A \in a^*} (1 + \|A\|)^N |\psi(A)| &\leq \sup_{\lambda \in a_0^*} (1 + 4\|\rho_k\|^2 + 2\|\lambda\|_0^2)^N |\psi_0(\lambda)| \\ &< \infty.\end{aligned}\quad \text{Q.E.D.}$$

*Example. The Gauss-kernel on  $G_0/K_0$ .* The technique used in the proof of Theorem 6.4 can be used to “explicit” computations of the inverse spherical Fourier transform in certain cases.

$$\text{Let for } t > 0, \psi_0^t(\lambda) = e^{-(t/2)\|\lambda\|_0^2}, \quad \lambda \in a_0^*.$$

Let  $g_t$  be defined such that  $g_t \sim \psi_0^t$ . Then  $t \rightarrow g_t$  is a semigroup under convolution of positive definite functions on  $G_0$ . We want to compute  $g_t$ . First define

$$\psi^t(A) = e^{-(t/2)(1/2\langle A, A \rangle + 2\langle \rho_k, \rho_k \rangle)}, \quad \text{for } A \in a_{\mathbb{C}}^*, \quad (6.19)$$

then  $j(\psi^t) = \psi_0^t$ . Extend  $\psi^t$  to a  $U$  invariant holomorphic function on  $p_{\mathbb{C}}^*$  by the same formula (6.19) with  $\lambda \in p_{\mathbb{C}}^*$ . By formula (3.4) we find the inverse spherical Fourier transform  $G_t$  of  $\psi^t$  to satisfy

$$\psi^t = (G_t)^\wedge = \mathcal{F}_1(2^{(1/2)t} \pi'(\rho) G_t J^{1/2}).$$

From this we deduce that ( $d = \dim p = \dim g_0$ )

$$G_t(\exp X) = 2^{-(1/2)t} \pi'(\rho)^{-1} \left(\frac{t}{2}\right)^{-d/2} e^{-t\|\rho_k\|^2} J(X)^{-1/2} e^{-(1/t)\|X\|^2}, \quad X \in p,$$

or

$$G_t(x) = (2^{1/2})^{-(t+d)} \pi'(\rho)^{-1} t^{-d/2} e^{-t\|\rho_k\|^2} e^{-(1/t)\|x\|^2} \Phi_0(x), \quad x \in G.$$

Finally using Theorem 6.1 we get

$$g_t(x) = (MG_t)(x) = \int_K G_t(hx) dh. \quad (6.20)$$

*Remark.* Theorem 6.1 can be used to obtain some a priori estimates for the Plancherel measure for  $G_0/K_0$ : We choose bounded nondifferentiable functions  $F$  of compact support in  $L^1(U \backslash G/U)$ , whose spherical Fourier transform  $F^\wedge$  does not behave better than the inverse of a polynomial on  $a^* + iC_\rho$ . (Use (3.4).) The Plancherel measure  $d\mu$  for  $G_0/K_0$  has to integrate  $j(F^\wedge)^2$ . So we can conclude that  $d\mu$  is a "tempered" measure. (This is indeed the case by the Gindikin-Karpelevic formula for  $c(\lambda)$ .)

## 7. SPHERICAL FOURIER ANALYSIS ON A NORMAL REAL FORM

The aim of this section is to convince the reader that spherical Fourier analysis on a normal form  $G_0$  is almost as easy as on a complex group. So we assume that  $G_0$  is a normal form. We have already, in Section 6, given simple proofs of the Paley-Wiener theorem and the corresponding theorem for  $L^1$ -Schwartz-functions.

**THEOREM 7.1.** *There exists an  $\epsilon > 0$ , such that if  $\lambda \in a_{\mathbb{C}}^*$ ,  $|\operatorname{Im} \lambda| < \epsilon$  and  $N \in \mathbb{Z}^+$  then  $x \rightarrow (1 + \|x\|)^N \Phi_\lambda(x)$  is integrable over  $K$ .*

*Beginning of proof.* By (3.2) it follows easily that

$$\|\Phi_\lambda(\exp X)\| \leq J(X)^{-1/2} e^{\epsilon\|X\|} = \Phi_0(\exp X) e^{\epsilon\|\exp X\|}. \quad (7.1)$$

Also  $h \rightarrow \Phi_0(h) e^{\epsilon\|h\|}$  is a  $K_0$  biinvariant function on  $K$ , so by (2.8) we have to prove

$$\int_K \Phi_0(h) e^{\epsilon\|h\|} dh = \int_{ik} e^{\epsilon\|T\|} J(T)^{-1/2} J_1(T) dT < +\infty, \quad (7.2)$$

where  $J_1$  is the “ $J$ -function” corresponding to  $K$ .  $J$  and  $J_1$  are given by simple expressions involving the corresponding root structures, and Theorem 7.1 can be proved, by just studying these root structures. The details are left to the next section.

**COROLLARY 7.2.** *Let  $\epsilon > 0$  be as in the theorem, and  $\Omega_\epsilon = \{\lambda \in (a_0)_\mathbb{C}^* \mid \|\operatorname{Im} \lambda\|_0 < (2^{-1/2}) \epsilon\}$ . Define*

$$\gamma(\lambda) = \int_K \Phi_{2\lambda}(h) dh \quad \text{for } \lambda \in \Omega_\epsilon. \quad (7.3)$$

*Then  $\gamma$  is holomorphic in  $\Omega_\epsilon$ .*

Before stating the next theorem, we just remark that

$$\pi_0(\lambda)^2 = \prod_{\alpha \in \mathcal{A}_0^+} \langle \alpha, H_\lambda^0 \rangle^2 = \prod_{\alpha \in \mathcal{A}^+} \langle \alpha, 2H_\lambda \rangle^2 = \pi'(2\lambda)^2 = \pi(2\lambda).$$

So in particular  $\pi_0(\rho_0)^2 = \pi(\rho)$ .

**THEOREM 7.3.** (The Plancherel theorem and the Inversion formula.) *Let  $f \in C_c^\infty(K_0 \backslash G_0 / K_0)$ , then with  $\gamma_0(\lambda) = 2^{(1/2)\dim a} \pi_0(\rho_0)^{-2} \pi_0(\lambda)^2 \gamma(\lambda)$*

$$f(x) = \int_{a_0^{*+}} f^\sim(\lambda) \varphi_\lambda(x) \gamma_0(\lambda) d\lambda, \quad \text{for all } x \in G_0, \quad (7.4)$$

*$f \rightarrow f^\sim$  extends to an isometry of  $L^2(K_0 \backslash G_0 / K_0)$  onto  $L^2(a_0^{*+}, \gamma_0(\lambda) d\lambda)$ .*

*Proof.* By Theorem 6.3 (iii) there is a unique  $F \in C_c^\infty(U \backslash G / U)$  such that  $f = MF$ . Using Theorem 6.1, we find that  $F^\sim(2\lambda) = f^\sim(\lambda)$  for all  $\lambda \in a_0^*$ . So we can use the inversion formula for the complex spherical Fourier transform (3.3) to obtain (recall that  $a^* = a_0^*$ , but that the measure on  $a^*$  is  $2^{-(1/2)\dim a}$  times the measure on  $a_0^*$ ):

$$\begin{aligned} f(e) &= MF(e) = \int_K F(h) dh \\ &= \int_K \pi(\rho)^{-1} \int_{a^{*+}} F^\sim(\lambda) \Phi_\lambda(h) \pi(\lambda) d\lambda dh \\ &= 2^{(1/2)\dim a} \pi_0(\rho_0)^{-2} \int_{a_0^{*+}} f^\sim(\lambda) \gamma(\lambda) \pi_0(\lambda)^2 d\lambda. \end{aligned} \quad (7.5)$$

This proves (7.4) for  $x = e$ . Now the rest of the corollary is fairly standard. (Briefly:  $\forall x_0 \in G$ ,  $f^{x_0}(x) = \int_K f(xkx_0) dk$ ,  $(f^{x_0})^\sim(\lambda) = f^\sim(\lambda) \varphi_\lambda(x_0)$ ;  $f^*(x) = \overline{f(x^{-1})}$ ,  $(f^* * f)^\sim(\lambda) = |f^\sim(\lambda)|^2$ ,  $\|f\|_2^2 = f^* * f(e) = \int_{a_0^{*+}} |f^\sim(\lambda)|^2 \gamma_0(\lambda) d\lambda$ .)

Q.E.D.

COROLLARY 7.4. For each  $\lambda \in \Omega_\epsilon$ , we have

$$\gamma(\lambda) \varphi_\lambda(x) = \int_K \Phi_{2\lambda}(hx) dh.$$

*Proof.* We need the following fairly simple estimate for  $\Phi_A$ : For each compact subset  $B \subset G$ , there exists  $C > 0$  such that

$$|\Phi_A(yx)| \leq C |\Phi_A(y)| \quad \text{for all } x \in B.$$

(This can be obtained from (2.13), see [9].) Therefore by Theorem 7.1,  $x \rightarrow \int_K \Phi_{2\lambda}(hx) dh$  is well defined and continuous. Now proceeding as in (7.5) we find

$$f(x) = 2^{(1/2)\dim a} \pi_0(\rho_0)^{-2} \int_{a_0^*+} f \sim(\lambda) \pi_0(\lambda)^2 \int_K \Phi_{2\lambda}(hx) dh d\lambda,$$

for all  $x \in G$  and  $f \in C_c^\infty(K \backslash G/U)$ . Comparing with (7.4) the corollary follows, since  $f \sim$  runs through the whole space  $\mathcal{H}(a_0^*)$ . Q.E.D.

*Remark.* Using Theorem 2.1 we conclude that

$$\begin{aligned} |c(\lambda)|^{-2} &= \gamma_0(\lambda) = 2^{(1/2)\dim a} \pi_0(\rho)^{-2} \pi_0(\lambda)^2 \gamma(\lambda), \quad \text{or} \\ |\pi_0(\lambda) c(\lambda)|^{-2} &= 2^{(1/2)\dim a} \pi_0(\rho_0)^{-2} \gamma(\lambda). \end{aligned} \quad (7.6)$$

Thus  $\gamma(\lambda)$  is, up to a constant, the inverse square of the function  $b(\lambda)$ , introduced by Harish-Chandra in [9]. One should be able to prove (7.6) directly from formula (7.3).

For the normal forms we can also reduce the  $L^2$ -Schwartz-space theorem (Theorem 2.1 (i)) to the complex case: Define

$$\mathcal{S}_1^2(G_0) = \{f \in C^\infty(K \backslash G/U) \mid \forall D \in \mathbf{D}(G), \forall N \in \mathbb{Z}^+, \sup_{x \in G} (1 + |x|)^N |Df \cdot \varphi_0^{-1}| < +\infty\}. \quad (7.7)$$

THEOREM 7.5. (i) The spherical Fourier transform is a bijection of  $\mathcal{S}_1^2(G_0)$  onto  $\mathcal{S}_w^2(a_0^*)$ .

(ii)  $M$  is a bijection of  $\mathcal{S}^2(G)$  onto  $\mathcal{S}_1^2(G_0)$ .

*Proof.* We proceed exactly as in the proof of Theorem 6.4. We first prove that  $M$  maps  $\mathcal{S}^2(G)$  into  $\mathcal{S}_1^2(G_0)$ . So assume  $F \in F' \in \mathcal{S}^2(G)$  (see Definition (2.15)). Let  $N \in \mathbb{Z}^+$  and  $D \in \mathbf{D}(G)$ , then  $(1 + |x|)^N |DF(x)| \leq c \Phi_0(x)$ . So by Theorem

7.1 the following exchange of differentiation and integration is allowed, we also use (6.5) and assume  $x \in \exp(\mathfrak{p}_0)$

$$\begin{aligned} & (1 + |x|)^N |D(MF)(x)| \\ &= (1 + |x|)^N \left| \int_K DF(hx) dh \right| \\ &\leq \int_K (1 + |hx|)^N |DF(hx)| dh \leq c \int_K \Phi_0(hx) dh = c \cdot \gamma(0) \cdot \varphi_0(x). \end{aligned}$$

Which is what we have to prove, to see that  $MF \in \mathcal{J}_1^2(G_0)$ .

Next we observe that it is fairly simple to prove that  $\mathcal{J}_1^2(G_0)$  is mapped into  $\mathcal{S}_{W_0}(a_0^*)$ , see [10, pp. 592–596]. We then look at the commutative diagram

$$\begin{array}{ccc} \mathcal{J}^2(G) & \xrightarrow{\text{“}\wedge\text{”}} & \mathcal{S}_W(a^*) \\ \downarrow M & & \downarrow j \\ \mathcal{J}_1^2(G_0) & \xrightarrow{\text{“}\sim\text{”}} & \mathcal{S}_{W_0}(a_0^*). \end{array}$$

Clearly  $j$  is bijective since  $a_0 = a$  and  $W_0 = W$ , and the Theorem follows. Q.E.D.

*Remark.* We should explain the relationship between the various definitions of the  $L^p$ -Schwartz-spaces,  $p = 1$  or  $2$ :

$\mathcal{J}^p$  defined in (2.18) using  $\mathbf{D}(G_0)$ .

$\mathcal{J}_0^p$  defined as in (3.10), using only the Laplace–Baltrami operator.

$\mathcal{J}_1^p$  defined as in Lemma 6.2 or as in (7.7), using  $\mathbf{D}(G)$ , considering  $\mathcal{J}_1^p \subset C^\infty(K \backslash G / U)$ .

The spaces are clearly contained in the space

$$\begin{aligned} \mathcal{J}_2^p &= \{f \in C^\infty(K_0 \backslash G_0 / K_0) \mid \forall N, M \in \mathbb{Z}^+, \\ &\quad x \rightarrow (1 + |x|)^N \omega^M f(x) \text{ is in } L^p(G_0)\}. \end{aligned}$$

Which in turn is easily seen to be mapped into  $\mathcal{S}_{W_0}(a_0^*)$  if  $p = 2$ , and into  $\mathcal{H}_{\rho_0}(a_0^*)$  if  $p = 1$ . So by Theorems 7.5 and 6.3 we have  $\mathcal{J}_1^2 = \mathcal{J}_0^2 = \mathcal{J}_2^2$ , and  $\mathcal{J}_1^1 = \mathcal{J}_2^1$ .

Using Theorems 2.1 and 2.4, we get  $\mathcal{J}^p = \mathcal{J}_2^p$ . It is not quite clear how to prove a priori, that  $\mathcal{J}^p = \mathcal{J}_2^p$ . However, often  $\mathcal{J}_1^p$  or, even  $\mathcal{J}_2^p$ , is enough to have for applications. In this connection we should notice that if definition  $\mathcal{J}_2^p$  is used, then our method boils down to a very simple proof of Theorems 2.1 and 2.4 for the normal forms, since we can use Theorem 3.3 for  $G$  instead of Theorem 2.1.

## 8. THE PROOF OF THEOREM 7.1

Again in this section  $g_0$  is a normal real form of  $g$ ,  $g_0 = k_0 + p_0$ ,  $u = k_0 + ip_0$  and  $g = u + iu$ . We choose the maximal Abelian subalgebra  $a$  of  $p + iu$  differently: First choose  $a_1$  to be a maximal Abelian subalgebra in  $ik_0$ , then extend it to a maximal Abelian subalgebra  $a$  of  $p$ , such that  $a = a_1 + a_2$ , where  $a_2 \subset p_0$ .  $\Delta$  is the roots of  $(g, a)$ , and  $\Delta_1$  is the roots of  $(k, a_1)$ . Notice that all roots have multiplicity 2. We choose a Weyl chamber  $a_1^+$  in  $a_1$ . Let  $\Delta_1^+$  be the corresponding set of positive roots. Define  $\rho_1 = \frac{1}{2} \sum_{\beta \in \Delta_1^+} 2\beta = \sum_{\beta \in \Delta_1^+} \beta$ . We now choose a Weyl chamber  $a^-$  in  $a$ , such that  $H_{\rho_1} \in a^+$ .  $\Delta^+$  is the corresponding set of positive roots.  $\rho = \frac{1}{2} \sum_{\alpha \in \Delta^+} 2\alpha = \sum_{\alpha \in \Delta^+} \alpha$ . The main ingredient in the proof of Theorem 7.1 is the following result:

THEOREM 8.1. *If  $H \in \overline{a_1^+} \cap \overline{a^-}$  and  $H \neq 0$  then*

$$\langle \rho - 2\rho_1, H \rangle > 0.$$

*Proof.* Let  $\tau$  be the involution of  $g$ , introduced in Section 5, then  $\tau$  is  $-1$  on  $a_1$  and  $-1$  on  $a_2$ . Clearly  $\tau$  induces an automorphism  $\alpha \rightarrow \alpha^\tau$  of  $\Delta$  onto itself. It follows now easily that every root  $\beta \in \Delta_1$  is the restriction  $\beta = \alpha|_{a_1}$  of an  $\alpha \in \Delta$ . Also if  $\beta = \alpha|_{a_1} \in \Delta_1$ , then  $\alpha \in \Delta^+$  if and only if  $\beta \in \Delta_1^+$ . And we have the following splitting of  $\Delta^+$ :

$$Q_1 = \{\alpha \in \Delta^+ \mid \beta = \alpha|_{a_1} \in \Delta_1^+, \alpha = \alpha^\tau\},$$

$$Q_2 = \{\alpha \in \Delta^+ \mid \beta = \alpha|_{a_1} \in \Delta_1^+, \alpha \neq \alpha^\tau\},$$

$$Q_3 = \{\alpha \in \Delta^+ \mid \alpha|_{a_1} \notin \Delta_1\}.$$

If  $\alpha \in Q_1$ ,  $\beta = \alpha|_{a_1}$ , then  $g^\alpha = g^\beta$ . If  $\alpha \in Q_2$ ,  $\beta = \alpha|_{a_1}$ , then  $g^\beta = (g^\alpha + g^{\alpha^\tau}) \cap k$ . And we get

$$\rho - 2\rho_1 = \sum_{\alpha \in Q_3} \alpha - \sum_{\alpha \in Q_1} \alpha. \quad (8.1)$$

*Remark.*  $b = ia_1 + a_2$  is a fundamental Cartan subalgebra of  $g_0$ , and the roots corresponding to  $Q_1$  are the compact roots.

The rest of the proof now goes by classification. It is simple but a little tedious. We refer to [2] or [26] for the models of the root structures. For each type of root system  $A_n, B_n, C_n, D_n, G_2, F_4, E_8, E_7$ , and  $E_6$ , we list  $g, k, a, \Delta, a_1, \Delta_1$ , and either  $a^+$ , or  $\Delta_1^+$  and  $\Delta^+$  ( $= Q_1 \cup Q_2 \cup Q_3$ ). It is then a fairly simple matter to check that  $H_{\rho_1} \in \overline{a^+}$ , and to find

$$\rho - 2\rho_1 = \sum_{\alpha \in Q_3} \alpha - \sum_{\alpha \in Q_1} \alpha.$$

In all the cases we have written  $\rho - 2\rho_1$  as a sum over  $l$  positive, orthogonal roots, whose restrictions to  $a_1$  are also orthogonal ( $l = \dim a_1$ ). From this the property of the theorem follows.

$\{e_1, \dots, e_n\}$  shall denote an orthonormal basis in Euclidean  $n$ -space. The dual space is identified with  $\mathbb{R}^n$ , such that  $\langle t, e_i \rangle = t_i$  for  $i = 1, \dots, n$ . In several cases we have to distinguish between odd and even  $n$ . One of the cases is then carried on in parenthesis.

1.  $A_n \cdot n \geq 1$ .  $g = sl(n+1, \mathbb{C})$ ,  $k = so(n+1, \mathbb{C})$ ,  $n+1 = 2m$  (or  $2m+1$ ).

$$a = \{e_1 + \dots + e_{n+1}\}^\perp \subset \mathbb{R}^{n+1},$$

$$\Delta = \{e_i - e_j \mid i \neq j, i, j = 1, \dots, n+1\},$$

$$a_1 = (e_1 + e_2)^\perp \cap \dots \cap (e_{2m-1} + e_{2m})^\perp, (\dots \cap e_{n+1}^\perp).$$

Define  $f_1 = e_1 - e_2, \dots, f_m = e_{2m-1} - e_{2m}$ .

$$\Delta_1 = \{\frac{1}{2}(\pm f_i \pm f_j) \mid i \neq j, i, j = 1, \dots, m\},$$

$$(\dots \cup \{\pm \frac{1}{2}f_i \mid i = 1, \dots, m\}).$$

$$a^+ = \{t \mid t_1 > -t_2 > t_3 > \dots > -t_{2m}\} \cap a,$$

$$(\dots > -t_{2m} > t_{2m+1}) \cap a).$$

$$Q_1 = \emptyset, \quad Q_3 = \{f_1, \dots, f_m\}, \quad \rho - 2\rho_1 = f_1 + \dots + f_m.$$

2.  $B_n \cdot n \geq 2$ .  $g = so(2n+1, \mathbb{C})$ ,  $k = so(n, \mathbb{C}) \times so(n+1, \mathbb{C})$ .

$$a = a_1 = \mathbb{R}^n, \quad n = 2m \quad (n = 2m+1).$$

$$\Delta = \{\pm e_i \pm e_j \mid i \neq j, i, j = 1, \dots, n\} \cup \{\pm e_i \mid i = 1, \dots, n\},$$

$$\Delta_1 = \{\pm e_i \pm e_j \mid i \neq j, i, j \leq m \text{ or } i, j \geq m+1\}$$

$$\cup \{\pm e_i \mid i = m+1, \dots, n\} (\dots \cup \{\pm e_i \mid i = 1, \dots, m\}).$$

$$a^+ = \left\{ t \mid t_{m+1} > t_1 > t_{m+2} > t_2 > \dots > t_m > \begin{Bmatrix} 0 \\ (t_{2m+1} > 0) \end{Bmatrix} \right\}.$$

$$Q_2 = \emptyset, \quad \rho - 2\rho_1 = e_1 + \dots + e_n.$$

3.  $C_n \cdot n \geq 3$ .  $g = sp(n, \mathbb{C})$ ,  $k = gl(n, \mathbb{C})$ .

$$a = a_1 = \mathbb{R}_n, \quad n = 2m \quad (n = 2m+1).$$

$$\Delta = \{\pm e_i \pm e_j \mid i \neq j, i, j = 1, \dots, n\} \cup \{\pm 2e_i \mid i = 1, \dots, n\}.$$

Define

$$f_1 = e_1 + e_n, f_n = e_1 - e_n,$$

$$f_2 = e_2 + e_{n+1}, \dots, \left\{ \begin{array}{l} f_{m+1} = e_m - e_{m+1} \\ (f_{m+2} = e_m - e_{m+2}, f_{m+1} = 2e_{m+1}) \end{array} \right\}.$$



$$\Delta_1 = \{e_i - e_j \mid i \neq j, i, j = 1, \dots, n\}.$$

$$a^+ = \left\{ t_1 > -t_n > t_2 > -t_{n-1} > \dots > \begin{Bmatrix} -t_{m+1} \\ (t_{m+1}) \end{Bmatrix} > 0 \right\}.$$

$$Q_2 = \emptyset, \quad \rho - 2\rho_1 = 2e_1 + \dots + 2e_m + \begin{Bmatrix} 0 \\ (2e_{m+1}) \end{Bmatrix} = f_1 + \dots + f_n.$$

$$4. \quad D_n. \quad n \geq 4. \quad g = so(2n, \mathbb{C}), \quad k = so(n, \mathbb{C}) \times so(n, \mathbb{C}).$$

$$a = \mathbb{R}^n, \quad n = 2m \text{ (or } n = 2m + 1).$$

$$\Delta = \{\pm e_i \pm e_j \mid i \neq j, i, j = 1, \dots, n\}.$$

Define  $f_1 = e_1 + e_{m+1}, f_{m+1} = e_1 - e_{m+1}, f_2 = e_2 + e_{m+2}, \dots, f_{2m} = e_m - e_{2m}$ .  
If  $n = 2m$  then  $a = a_1$  (if  $n = 2m + 1$  then  $a_1 = e_n^\perp$ ).

$$\Delta_1 = \{\pm e_i \pm e_j \mid i \neq j; i, j \leq m \text{ or } m < i, j \leq 2m\} (\dots \cup \{\pm e_i \mid i \neq n\}).$$

$$a^+ = \left\{ t_1 > t_{m+1} > t_2 > t_{m+2} > \dots > \begin{Bmatrix} t_m \\ (t_{2m}) \end{Bmatrix} > |t_n| \right\}.$$

$$\rho - 2\rho_1 = 2e_1 + \dots + 2e_m = f_1 + \dots + f_n.$$

$$5. \quad G_2. \quad k = sl(2, \mathbb{C}) \times sl(2, \mathbb{C}).$$

$$a = a_1 = \mathbb{R}^2. \quad \text{Simple roots } \alpha, \beta.$$

$$\Delta = \{\pm\beta, \pm(\beta + \alpha), \pm(\beta + 2\alpha), \pm(\beta + 3\alpha), \pm(2\beta + 3\alpha), \pm\alpha\}.$$

$$f_1 = \beta, f_2 = \beta + 2 \quad \text{orthogonal roots.}$$

$$\Delta_1 = \{\pm\alpha, \pm(2\beta + 3\alpha)\}.$$

$$\Delta_1^+ = \{\alpha, 2\beta + 3\alpha\}, \Delta^+ = \{\beta, \beta + \alpha, \beta + 2\alpha, \beta + 3\alpha, 2\beta + 3\alpha, \alpha\}.$$

$$\rho - 2\rho_1 = 2(\alpha + \beta) = f_1 + f_2.$$

$$6. \quad F_4. \quad k = sp(3, \mathbb{C}) \times sl(2, \mathbb{C}).$$

$$a = a_1 = \mathbb{R}^4.$$

$$\Delta = \{\pm e_i \mid i = 1, \dots, 4\} \cup \{\pm e_i \pm e_j \mid i \neq j, i, j = 1, \dots, 4\} \\ \cup \{\tfrac{1}{2}(\pm e_1 \pm e_2 \pm e_3 \pm e_4)\}.$$

$$f_1 = \tfrac{1}{2}(e_1 + e_2), \quad f_2 = \tfrac{1}{2}(e_1 - e_2) \quad \text{and} \quad f_3 = \tfrac{1}{2}(e_3 + e_4).$$

$$\Delta_1 = \{\pm(e_3 - e_4)\} \cup \{\pm f_i \pm f_j \mid i \neq j, i, j = 1, 2, 3\} \\ \cup \{\pm 2f_1, \pm 2f_2, \pm 2f_3\}.$$

$$a^+ = \{t \mid t_1 > t_3 > t_2 > t_4 > 0 \text{ and } t_1 > t_3 + t_4 + t_5\}.$$

$$\rho - 2\rho_1 = e_1 + e_2 + e_3 + e_4.$$

$$7. E_8, k = so(16, \mathbb{C}), a = a_1 = \mathbb{R}^8.$$

$$\Delta = \{\pm e_i \pm e_j \mid i \neq j, i, j = 1, \dots, 8\} \cup \left\{ \frac{1}{2} \sum_{i=1}^8 (-1)^{m_i} e_i \mid \sum_{i=1}^8 m_i \equiv 0 \pmod{2} \right\}.$$

$$\Delta_1 = \{\pm e_i \pm e_j \mid i \neq j, i, j = 1, \dots, 8\}.$$

$$\Delta_1^- = \{e_i \pm e_j \mid 1 \leq i < j \leq 8\}, \rho_1 = 14e_1 + 12e_2 + \dots + 2e_8.$$

$$Q_2 = \emptyset, \quad Q_3 = \left\{ \alpha = \sum_{i=1}^8 (-1)^{m_i} e_i \mid \sum_{i=1}^8 m_i \equiv 0 \pmod{2}; \right. \\ \left. \langle \alpha, \rho_1 \rangle > 0 \text{ or } (\langle \alpha, \rho_1 \rangle = 0 \text{ and } m_1 = 0) \right\}. \\ \rho - 2\rho_1 = 4e_1 = f_1 + f_2 + \dots + f_8.$$

Where  $f_1, \dots, f_8$  are all in  $Q_3$  and given by the following combinations of signs:

	$(-1)^{m_1}$	.	.	.	.	.	$(-1)^{m_8}$
$f_1, f_2$	+	+	+	+	±	±	±
$f_3, f_4$	+	+	-	-	±	±	∓
$f_5, f_6$	+	-	+	-	±	∓	±
$f_7, f_8$	+	-	-	+	±	∓	±

$$8. E_7, k = sl(8, \mathbb{C}), a_1 = a = (e_1 + \dots + e_8)^\perp.$$

$$\Delta = \{\alpha \in \Delta_{E_8} \mid \alpha^\perp(e_1 + \dots + e_8)\} = \Delta_1 \cup (\pm Q_3),$$

$$\Delta_1 = \{e_i - e_j \mid i \neq j, i, j = 1, \dots, 8\},$$

$$\pm Q_3 = \left\{ \sum_{i=1}^8 (-1)^{m_i} e_i \mid m_i \in \{0, 1\}, \sum m_i = 4 \right\}.$$

$$\rho_1 = 7e_1 + 5e_2 + 3e_3 + e_4 - e_5 - 3e_6 - 5e_7 - 7e_8.$$

$$Q_3 = \{\alpha \in \pm Q_3 \mid \langle \alpha, \rho_1 \rangle > 0 \text{ or } (\langle \alpha, \rho_1 \rangle = 0 \text{ and } \langle \alpha, e_1 \rangle > 0)\}.$$

$$\rho - 2\rho_1 = 4e_1 - \frac{1}{2}(e_1 + \dots + e_8) = f_2 + \dots + f_8,$$

where  $f_2, \dots, f_8$  are given as under  $E_8$ .

$$9. E_6, k = sp(4, \mathbb{C}), a = (e_7 + e_8)^\perp \cap (e_6 - e_7)^\perp.$$

$$\Delta = \{\pm e_i \pm e_j \mid i \neq j, i, j = 1, 2, \dots, 5\}$$

$$\cup \left\{ \frac{1}{2} \sum_{i=1}^8 (-1)^{m_i} e_i \mid m_6 = m_7, m_7 + m_8 = 1, \sum_{i=1}^8 m_i \equiv 0 \pmod{2} \right\}.$$

$$a_1 = \{t \mid t_5 = t_6 = t_7 = t_8 = 0\}.$$

Define  $f_1 = \frac{1}{2}(e_1 + e_2)$ ,  $f_2 = \frac{1}{2}(e_1 - e_2)$ ,  $f_3 = \frac{1}{2}(e_3 + e_4)$ ,  $f_4 = \frac{1}{2}(e_3 - e_4)$ .

$$\Delta_1 = \{\pm f_i \pm f_j \mid i \neq j, i, j = 1, \dots, 4\} \cup \{\pm 2f_i \mid i = 1, \dots, 4\}.$$

$$\Delta_1^+ = \{f_i \pm f_j \mid i < j\} \cup \{2f_i \mid i = 1, \dots, 4\}.$$

$$\rho_1 = 8f_1 + 6f_2 + 4f_3 + 2f_4 = 7e_1 + e_2 + 3e_3 + e_4.$$

$$\Delta^+ = \{\alpha \in \Delta \mid \langle \alpha, \rho_1 \rangle > 0 \text{ or } (\langle \alpha, \rho_1 \rangle = 0 \text{ and } \langle \alpha, e_2 \rangle > 0)\}.$$

$$\rho - 2\rho_1 = 2e_1 + 2e_2 = g_1 + g_2 + g_3 + g_4,$$

where  $g_1 = e_1 + e_3$ ,  $g_2 = e_2 + e_4$ ,  $g_3 = e_1 - e_3$ ,  $g_4 = e_2 - e_4$ . Q.E.D.

**COROLLARY 8.2** (of the proof). *There exists an orthogonal system of roots  $f_1, \dots, f_l \in \Delta^+$ , where  $l = \dim a_1$ , such that the restrictions of  $f_1, \dots, f_l$  to  $a_1$  generate  $a_1^*$  and*

$$\rho - 2\rho_1 = f_1 + \dots + f_l. \quad (8.2)$$

If the root system is not of type  $B_n$ ,  $C_n$ , or  $F_4$ ,  $f_1, \dots, f_l$  can be chosen strongly orthogonal.

In the following we sketch a proof of (8.2) without use of classification. Clearly (8.2) implies Theorem 8.1.

*Proof of (8.2).* Let  $\beta$  be any simple root in  $\Delta^+$ , then

$$\langle \rho, \beta \rangle / \langle \beta, \beta \rangle = 1 \quad \text{and} \quad \langle 2\rho_1, \beta \rangle / \langle \beta, \beta \rangle \in \mathbb{Z}^+,$$

thus

$$\langle \rho - 2\rho_1, \beta \rangle / \langle \beta, \beta \rangle = \begin{cases} 1 \\ \text{or } \leq 0. \end{cases}$$

Assume that

$$\langle \rho - 2\rho_1, \beta \rangle / \langle \beta, \beta \rangle \leq 0 \quad \text{for all simple roots.} \quad (8.3)$$

Then  $\langle \rho - 2\rho_1, \alpha \rangle \leq 0$  for all  $\alpha \in \Delta^+$ . Now choose a simple root  $\beta_1 \in \Delta^+$ . Define  $a^1 = \{H \mid \beta_1(H) = 0\}$  and  $\Delta^1 = \{\alpha \in \Delta \mid \langle \alpha, \beta \rangle = 0\}$ . Then  $\Delta^1$  is naturally identified with the root structure of the complex Lie sub-algebra  $g^1 = \{X \in g \mid [X, X_{\beta_1} + X_{-\beta_1}] = 0\}$ , for a suitable choice of root vectors  $X_{\beta_1}, X_{-\beta_1}$ .  $g^1 \cap g_0 = g_0^1$  is a normal real form of  $g^1$ .  $g_0^1 = g_0^1 \cap k_0 + g_0^1 \cap p_0 = k_0^1 + p_0^1$  is the Cartan decomposition of  $g_0^1$ . Let  $k^1 = k_0^1 + ik_0^1$ ,  $a_1^1 = k_0^1 \cap a^1$ , and let  $\Delta_1^1$  be the root structure of  $(k^1, a_1^1)$ .  $\Delta^+$  induces an ordering determining  $\Delta_1^{1+}$  and  $\Delta_1^{1-}$ . Let  $\rho^1 = \sum_{\alpha \in \Delta_1^{1+}} \alpha$ ,  $\rho_1^1 = \sum_{\alpha \in \Delta_1^{1+}} \alpha$ .

Then by the method of Schmid [25, Section 7] one can see that  $\langle \rho - 2\rho_1, H \rangle = \langle \rho^1 - 2\rho_1^1, H \rangle$  for all  $H \in a^1$ . From Vogan [28] it follows that  $H_{\rho_1^1} \in \overline{a^{1+}}$ . It follows from the assumption (8.3) that  $\langle \rho^1 - 2\rho_1^1, \beta \rangle = \langle \rho - 2\rho_1, \beta \rangle \leq 0$  for all  $\beta \in \Delta_1^{1+}$ . So we choose a simple root  $\beta^2 \in \Delta_1^{1+}$ , etc. After each step the dimension of  $a_1^i$  is reduced by one. When  $\dim a_1^i = 1$  we

are left with  $g^i = sl(2, \mathbb{R})$ , but in that case,  $\rho_1^i = 0$  and  $\rho \neq 0$ , which is a contradiction to (8.3).

Then there exists a simple root  $\beta_1$  such that

$$\langle \rho - 2\rho_1, \beta_1 \rangle / \langle \beta_1, \beta_1 \rangle = 1.$$

This means that  $\langle \rho - 2\rho_1 - \beta_1, \beta_1 \rangle = 0$ , such that  $\rho - 2\rho_1 - \beta_1 = \rho^1 - 2\rho_1^1$ . Again using the induction we find that  $\rho - 2\rho_1 = \beta_1 + \dots + \beta_l$ ,  $l = \dim a_1$ . Q.E.D.

*Proof of Theorem 7.1.* We have to prove that there is an  $\epsilon > 0$ , such that  $e^{\epsilon \|T\|} J(T)^{-1/2} J_1(T)$  is integrable over  $ik$ , (see (7.2)). Where  $J_1(T) = \delta_1(T) \pi_1(H)^{-1}$ ,  $\delta_1(T) = \prod_{\alpha \in \Delta_1^+} (\sinh \langle \alpha, T \rangle)^2$  and  $\pi_1(T) = \prod_{\alpha \in \Delta_1^+} \langle \alpha, T \rangle^2$ . Using polar coordinates (2.6) it is enough to prove that  $e^{\epsilon \|T\|} J(H)^{-1/2} \delta_1(H)$  is integrable over  $a_1^+$ . Now it is very easy to see that there are constants  $c_1, c_2 > 0$  and  $d \in \mathbb{Z}^+$  such that  $\delta_1(H) \leq c_1 e^{\langle 2\rho_1, H \rangle}$  for all  $H \in \overline{a_1^+}$ , and

$$J(H) \geq c_2 (1 + \|H\|)^{-2d} e^{\langle 2\rho, H \rangle} \quad \text{for all } H \in \overline{a^+}.$$

Therefore for  $H \in \overline{a_1^+} \cap \overline{a^+}$  we have

$$J(H)^{-1/2} \delta_1(H) \leq c_1 c_2^{-1/2} (1 + \|H\|)^d e^{-\langle \rho - 2\rho_1, H \rangle}.$$

Theorem 8.1 then shows that we can choose  $\epsilon > 0$ , such that  $e^{\epsilon \|H\|} J(H)^{-1/2} \delta_1(H)$  is integrable over  $\overline{a_1^+} \cap \overline{a^+}$ .

Now  $\overline{a_1^+} = \bigcup_{s \in I} s(\overline{a_1^+} \cap \overline{a^+})$ , where the union is over a subset  $I$  of  $W$ . This is clear if  $a_1 = a$ . If  $a_1 \neq a$  it follows, since  $\Delta^1 = \{\alpha|_{a_1} \mid \alpha \in \Delta\}$  is a root system on  $a_1$ . If  $H \in \overline{a_1^+} \cap \overline{a^+}$  and  $s \in I$ , then  $J(sH) = J(H)$ , and by [9, p. 280],  $\rho_1(sH) \leq \rho_1(H)$  since  $H_{\rho_1} \in \overline{a^+}$ . We then have

$$\delta_1(sH) \leq c_1 e^{\langle 2\rho_1, sH \rangle} \leq c_1 e^{\langle 2\rho_1, H \rangle},$$

which shows that  $e^{\epsilon \|H\|} J(H)^{-1/2} \delta_1(H)$  is integrable over  $s(\overline{a_1^+} \cap \overline{a^+})$ . Q.E.D.

## 9. APPLICATIONS TO REPRESENTATION THEORY

Assume that  $\varphi_\lambda, \lambda \in (a_0)_\mathbb{C}^*$ , corresponds to a finite-dimensional representation  $E_0$  of  $G_0$ . Let  $E_\mathbb{C}$  be the corresponding holomorphic representation of  $G$ . Let  $E_U$  be the restriction to  $U$  of  $E$ . Let  $E_0^*, E_\mathbb{C}^*$ , and  $E_U^*$  be the same for the contragredient representation. Consider the representation  $E = E_\mathbb{C} \otimes E_\mathbb{C}^*$  of  $G \times G$ . Then  $E$  restricted to  $U \times U$  is just  $E_U \otimes E_U^*$ . Denote  $E^n$  the restriction of  $E$  to  $G_1 = \{x, \sigma(x) \mid x \in G\}$ .

The spherical function on  $U$ , w.r.t.  $K_0$  corresponding to  $E_U$ , is just the analytic continuation of  $\varphi_\lambda$  to  $U$ . The character of  $E_U$  is  $\dim(E_U)$  times the spherical function on  $U \times U$ , w.r.t.  $d(U)$ , corresponding to  $E_U \otimes E_U^*$ . The analytic

continuation of this spherical function to  $G_1$  is just  $\Phi_{2(\lambda - i\rho_k)}$ , the spherical function on  $G_1$ , w.r.t.  $U_1$ , corresponding to  $E^n$ . Thus we conclude that our mapping  $\varphi_\lambda \rightarrow \Phi_{2(\lambda - i\rho_k)} = \int_U \varphi_\lambda(u) du$  is the analogue, for noncompact groups, of the process of associating the character to a finite dimensional representation, for compact groups.

Let  $G_0/H_0$  be a pseudo-Riemannian symmetric space, and  $K_0$  a maximal compact subgroup of  $G_0$ , as in Section 4. In general the Plancherel measure for  $G_0/H_0$  (i.e., the decomposition of the regular representation on  $L^2(G_0/H_0)$  into irreducibles) is not known explicitly. It is quite natural to think that the restriction  $d\mu_1$  of the continuous part of the Plancherel measure to  $G_0 \hat{\setminus} (K_0)$  (the set of class-one representations), should be given by  $d\mu_1(\lambda) = |c(\lambda)|^{-2} d\lambda$ , where the  $c$ -function is associated with the set of generalized spherical functions given by

$$\begin{aligned} \varphi &\in C^\infty(K_0 \backslash G_0 / H_0), & \varphi(e) &= 1 \\ D\varphi &= \lambda_D \varphi, & \text{for all } D &\in \mathbf{D}(G_0/H_0). \end{aligned} \quad (9.1)$$

This is indeed true for the special case of  $G/K$  (where  $G$  is complex semisimple, and  $K$  is the complexification of a maximal compact subgroup  $K_0$  of a real form  $G_0$ ):

The generalized spherical functions are by (5.5) just the set  $\{\varphi_\lambda^n \mid \lambda \in (\mathfrak{a}_0)_\mathbb{C}^*\}$ . So the associated  $c$ -function is just the  $c$ -function for  $G_0$ . And the restricted Plancherel measure  $d\mu_1$  is determined by decomposing the  $U$ -fix-vectors in  $L^2(G/K)$ , i.e.,  $L^2(U \backslash G/K)$ , according to the generalized spherical functions. But since  $L^2(U \backslash G/K)$  is the "same" as  $L^2(K_0 \backslash G_0/K_0)$ , we find (using Theorems 5.2 and 5.5) that  $d\mu_1$  is supported on the set  $\{2(\lambda - i\rho_k) \mid \lambda \in \mathfrak{a}_0^*\}$  and there it is given by  $|c(\lambda)|^{-2} d\lambda$ . One aspect of this is that  $\Phi_{2(\lambda - i\rho_k)}$  has to be positive definite for  $\lambda \in \mathfrak{a}_0^*$ .

If  $\rho_k \neq 0$  (i.e.,  $G_0$  is neither a normal real form nor a Steinberg normal form), then this part of the Plancherel measure is not related to the unitary class-one principal series induced from a minimal parabolic subgroup of  $G$ . In any case it must be related to the unitary class-one principal series induced from the parabolic subgroup  $P_0^\mathbb{C} = M_0^\mathbb{C} A_0^\mathbb{C} N_0^\mathbb{C}$ , where  $N_0^\mathbb{C} = \exp(\mathfrak{n}_0 + i\mathfrak{m}_0)$ ,  $A_0^\mathbb{C} = \exp(\mathfrak{a}_0 + i\mathfrak{a}_0)$  and  $M_0^\mathbb{C}$  is the centralizer of  $\mathfrak{a}_0$  in  $G$ . If  $G_0$  is not a normal real form, then  $P_0^\mathbb{C}$  is not minimal, and we are dealing with degenerate principal series. Rossmann [24] has suggested that one uses the correspondingly defined parabolic subgroup of  $G_0$ , to treat analysis on the pseudo-Riemannian symmetric spaces  $G_0/H_0$  in general.

## 10. AN EXAMPLE, THE CASE OF $\mathbf{SL}(2, \mathbb{R})$

$g_0 = \mathfrak{sl}(2, \mathbb{R})$ ,  $g = \mathfrak{sl}(2, \mathbb{C})$ . For our purpose we may assume  $G = \mathbf{SO}_0(3, 1)$  ( $\approx \mathbf{SL}(2, \mathbb{C})/\{\pm 1\}$ ),  $U = \mathbf{SO}(3)$ ,  $G_0 = \mathbf{SO}_0(2, 1)$  ( $\approx \mathbf{SL}(2, \mathbb{R})/\{\pm 1\}$ ),  $K_0 = \mathbf{SO}(2)$ ,

$K = \mathbf{SO}(2) \times \mathbf{SO}_0(1, 1)$  and  $A = A_0 = \mathbf{SO}_0(1, 1)$ . In order to describe how the embeddings are it is enough to give the matrix form of  $K$  and  $A$ :

$$K = \left\{ k_\theta \cdot h_t = \begin{pmatrix} \cosh t & 0 & 0 & \sinh t \\ 0 & \cos \theta & \sin \theta & 0 \\ 0 & -\sin \theta & \cos \theta & 0 \\ \sinh t & 0 & 0 & \cosh t \end{pmatrix} \middle| \theta \in [0, 2\pi], t \in \mathbb{R} \right\}$$

$$A = \left\{ a_y = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \cosh y & \sinh y \\ 0 & 0 & \sinh y & \cosh y \end{pmatrix} \middle| y \in \mathbb{R} \right\}.$$

We identify  $a_{\mathbb{C}}^*$  with  $\mathbb{C}$  such that  $\langle A, \log(a_y) \rangle = A \cdot y$ . Then  $\Delta = \{\pm 1\}$ ,  $\rho_0 = \frac{1}{2}$ , and  $\rho = 1$ .  $\pi_0(\rho_0) = 1/4$ ,  $\pi_0(\lambda) = \frac{1}{2}\lambda$ . The normalization of measures are such that

$$\int_{G_0} f(x) dx = \int_0^\infty f(a_y) 2 \sinh y \frac{dy}{\pi^{1/2}} = \int_{K/G} f^\eta(x) dx$$

for  $f \in C_c(K_0 \backslash G_0 / K_0)$ , and

$$\int_G F(x) dx = \int_0^\infty F(a_y) 4(\sinh y)^2 \left(\frac{2}{\pi}\right)^{1/2} dy$$

for  $F \in C_c(U \backslash G / U)$ , and

$$\int_K F(h) dh = 2^{-3/2} \int_{-\infty}^\infty F(h_t) dt$$

for  $F \in C_c(K_0 \backslash K)$ .

The spherical functions are given by

$$\Phi_A(a_y) = \frac{\sin Ay}{A \sinh y},$$

$$\begin{aligned} \varphi_\lambda(a_y) &= P_{i\lambda-1/2}(\cosh 2y) = \varphi_\lambda^{(0,-1/2)}(2y) = \varphi_{2\lambda}^{(0,0)}(y) \\ &= {}_2F_1\left(\frac{1}{2} + i\lambda, \frac{1}{2} - i\lambda, 1; -(\sinh y)^2\right), \end{aligned}$$

where  $P_\nu$  is the Legendre function,  $\varphi_\mu^{(\alpha,\beta)}$  the Jacobi-function (see for example [19]), and  ${}_2F_1$  is the Hypergeometric function.

The Plancherel measure for  $G_0/K_0$  is by Corollary 7.3 given by

$$\gamma_0(\lambda) \frac{d\lambda}{2\pi^{1/2}} = 2^{1/2} \cdot 16 \cdot \frac{1}{4}\lambda^2 \cdot \gamma(\lambda) \frac{d\lambda}{2\pi^{1/2}}, \quad \text{where}$$

$$\begin{aligned}
\gamma(\lambda) &= \int_K \Phi_{2\lambda}(h) dh = 2^{-3/2} \int_{-\infty}^{\infty} \frac{\sin 2\lambda t}{2\lambda \sinh t} dt \\
&= 2^{-9/2} \frac{\pi \sinh \pi 2\lambda}{\cosh \pi 2\lambda + 1} \\
&= 2^{-9/2} \lambda^{-2} \pi \lambda \tanh \pi \lambda,
\end{aligned} \tag{10.1}$$

and thus

$$\gamma_0(\lambda) \frac{d\lambda}{2\pi^{1/2}} = \pi \lambda \tanh \pi \lambda \frac{d\lambda}{2\pi^{1/2}}$$

which is in accordance with Theorem 2.1, since  $|c(\lambda)|^{-2} = \pi \lambda \tanh \pi \lambda$ .

The integral evaluated in (10.1) also occurs in Godement's determination of the Plancherel measure for  $\mathbf{SL}(2, \mathbb{R})$  [7]. But the fact that the integrand is the spherical function on  $\mathbf{SL}(2, \mathbb{C})$  does not seem to have been noticed. See also Harish-Chandra [12], where a similar integral is used to determine the Plancherel measure for  $G_0$  itself.

The mappings  $M_0$  and  $M$  take the following explicit form:

$$M_0 f(a_y) = 2^{-3/2} (\sinh y)^{-1} \int_{s=0}^{2y} f(a_s) (\cosh 2y - \cosh s)^{-1/2} d(\cosh s) \tag{10.2}$$

for  $f \in L^\infty(K_0 \backslash G_0 / K_0)$ , and

$$M F(a_{2y}) = 2^{-3/2} \int_{s=|y|}^{\infty} F(a_s) (\cosh^2 s - \cosh^2 y)^{-1/2} (\cosh s)^{-1} d(\cosh^2 s) \tag{10.3}$$

for  $F \in L^1(U \backslash G / U)$ .

Since (10.3) is essentially a Weyl fractional integral of order  $\frac{1}{2}$ , the result of Theorem 6.3, that  $M$  is a bijection of  $C_c^\infty(U \backslash G / U)$  onto  $C_c^\infty(K_0 \backslash G_0 / K_0)$ , follows easily in this case (see [19, p. 153]).

Now formulas (1.2) and (1.4) take the following form (after a simple change of variables):

$$\begin{aligned}
\Phi_{2\lambda}(a_y) &= \frac{\sin 2\lambda y}{2\lambda \sinh y} \\
&= \frac{2^{-3/2}}{\sinh y} \int_{s=0}^{2y} \varphi_\lambda(a_s) (\cosh 2y - \cosh s)^{-1/2} d \cosh s,
\end{aligned} \tag{10.4}$$

$$\varphi_\lambda(a_y) = 2^{1/2} (\pi \tanh \pi \lambda)^{-1} \int_{s=|y|}^{\infty} \sin \lambda s (\cosh s - \cosh y)^{-1/2} ds. \tag{10.5}$$

Finally we state formula (6.20) for the Gauss-kernel on  $\mathbf{SL}(2, \mathbb{R})$ :

$$g^t(a_y) = 2^{-9/2} t^{-3/2} \int_{s=|y|}^{\infty} s e^{-(1/t)s^2} (\cosh s - \cosh y)^{-1/2} ds. \tag{10.6}$$

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